

FIG. 1

FIG. 1

FIG. 2

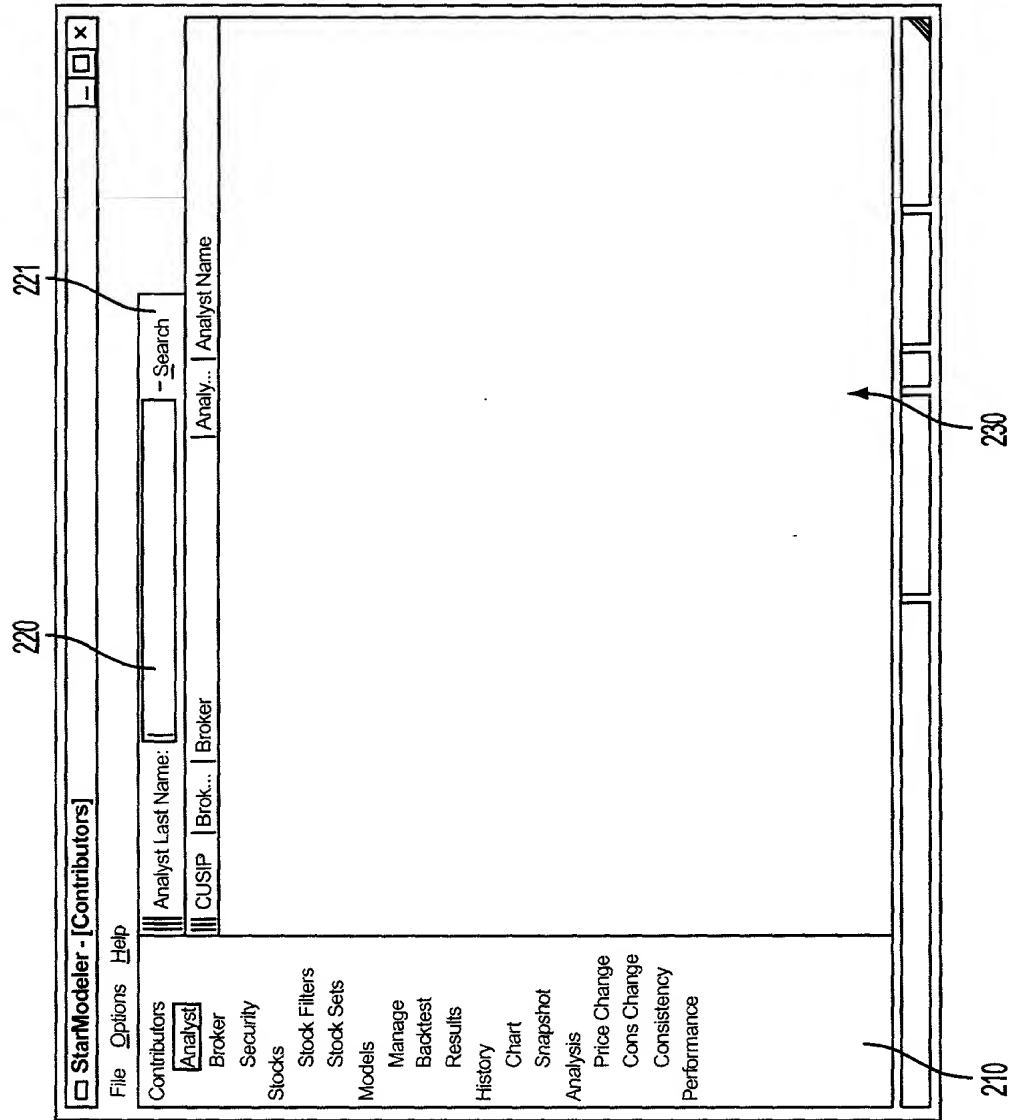


FIG. 2

220

Analyst Name	Analyst ID
Dube B	18084
<b>Dube S</b>	<b>7919</b>
Dubeau C	18847

FIG. 3

FIG. 4

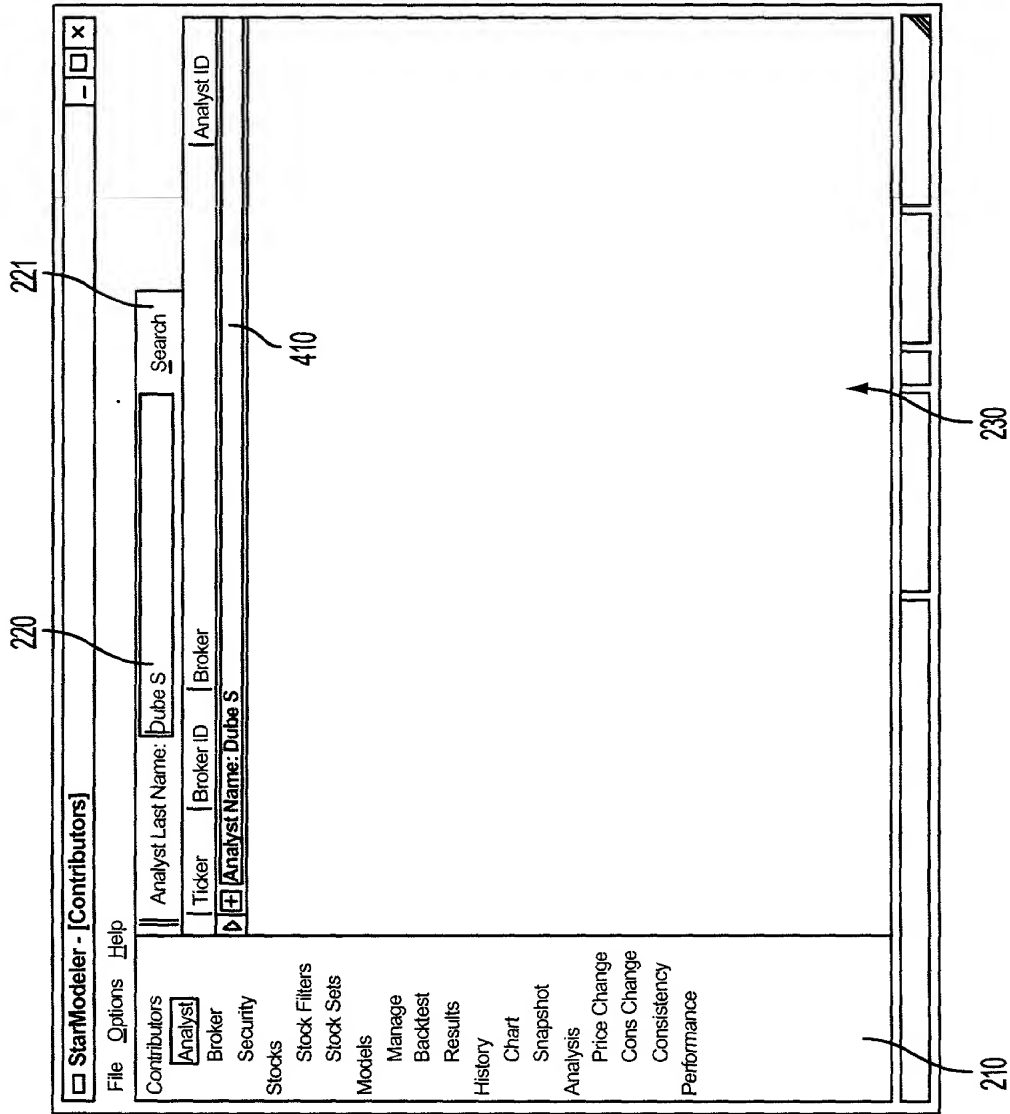


FIG. 4

510 520 220 530 540

StarModeler - [Contributors]

File Options Help

Contributors

Analyst

Broker

Security

Stocks

Stock Filters

Stock Sets

Models

Manage

Backtest

Results

History

Chart

Snapshot

Analysis

Price Change

Cons Change

Consistency

Performance

Analyst/Last Name: Dube S

Search

Ticker

Broker ID

Broker

Analyst ID

Analyst Name: Dube S

BGH	251	Lehman Brothers	7919
DEC	251	Lehman Brothers	7919
DGN	251	Lehman Brothers	7919
HON	251	Lehman Brothers	7919
HWP	251	Lehman Brothers	7919
IBM	251	Lehman Brothers	7919
MMM	251	Lehman Brothers	7919
NCR	251	Lehman Brothers	7919
PRM	251	Lehman Brothers	7919
SY	251	Lehman Brothers	7919
TNDM	251	Lehman Brothers	7919
WAN	251	Lehman Brothers	7919
BGH	308	Lehman Brothers Japan	7919
DEC	308	Lehman Brothers Japan	7919
DGN	308	Lehman Brothers Japan	7919
HON	308	Lehman Brothers Japan	7919
IBM	308	Lehman Brothers Japan	7919
MMM	308	Lehman Brothers Japan	7919
NCR	308	Lehman Brothers Japan	7919
PRM	308	Lehman Brothers Japan	7919

210 505

FIG. 5

FIG. 6

StarModeler - [Contributors] 610 620 630 640

File Options Help

Contributors  
Analyst  
**Broker**  
Security  
Stocks  
Stock Filters  
Stock Sets  
Models  
Manage  
Backtest  
Results  
History  
Chart  
Snapshot  
Analysis  
Price Change  
Cons Change  
Consistency  
Performance

Broker Name: Wasserstein Perella & Co., Inc. Search

Ticker	Broker ID	Analyst ID	Analyst Name
<b>Broker: Wasserstein Perella &amp; Co., Inc.</b>			
AAPL	1496	7919	Dube S
ABT	1496	7283	Dunne R
ACCX	1496	0	Unknown
ACCX	1496	45411	Loeb J
ACXT	1496	45411	Loeb J
ADPI	1496	46359	Childe J
AIG	1496	233	Picoult M
ALLM	1496	233	Picoult M
AMB	1496	44862	Maguire W
AMER	1496	52141	Klein W
AMN	1496	45411	Loeb J
APA	1496	973	Ferretti P
APC	1496	973	Ferretti P
ARGY	1496	9267	Schmitt W
ARRO	1496	7283	Dunne R
ART	1496	1374	Atorino E
BA	1496	52828	San Pietro J
BAX	1496	7283	Dunne R
BBD	1496	52828	San Pietro J
BCR	1496	7283	Dunne R

210 605

FIG. 6

**StarModeler - [Contributors]** File Options Help

☐ CUSIP ☐ Company Name ☐ Unique Ticker ☒ Listed Ticker AAPL

CUSIP Broker ID Broker Analyst ... Analyst Name

**Ticker: AAPL [Apple Computer]**

CUSIP	Broker ID	Broker	Analyst ...	Analyst Name
037833310	7	Deutsche Banc Alex. Brown - Us	0	Unknown
037833310	7	Deutsche Banc Alex. Brown - Us	658	Bradley O
037833310	7	Deutsche Banc Alex. Brown - Us	1032	Stahlman M
037833310	7	Deutsche Banc Alex. Brown - Us	1040	Jt. Rossi
037833310	7	Deutsche Banc Alex. Brown - Us	1283	Reid C
037833310	7	Deutsche Banc Alex. Brown - Us	6867	Rueppel P
037833310	7	Deutsche Banc Alex. Brown - Us	7932	Eskenazi S
037833310	11	Argus Research Corp.	938	Abramowitz W
037833310	11	Argus Research Corp.	18158	Electrical/Electro
037833310	11	Argus Research Corp.	32713	Office Equip.
037833310	13	Unknown	30132	Post-Technology A
037833310	15	Atlantis Investment Co.	103	Anderson A
037833310	16	Prudential Securities	3106	Brown K
037833310	16	Prudential Securities	5963	Wolk M
037833310	16	Prudential Securities	33032	Martin R
037833310	16	Prudential Securities	40709	Alexy K
037833310	16	Prudential Securities	677	Young D
037833310	25	Bateman	166	Harris L
037833310	25	Bateman	4047	Kapka J
037833310	28	Bear, Stearns & Co.	856	Montanus J

FIG. 7

1101

810

1102

820

830

840

850

860

870

870a

StarModeler - [Stock Filters and Lists]
File Options Help

Contributors

Analyst

Broker

Security

Stocks

Stock Filters

**Stock Sets**

Models

Manage

Backtest

Results

History

Chart

Snapshot

Analysis

Price Change

Cons Change

Consistency

Performance

Owner	List Name	CUSIP	Uniq...	Current Ticker	Name
StarMine	Top 100	02364J11	AMER	AOL	America Online
StarMine	Top 500 Activity Stocks (1999)	05947041	BCM	BCH	Boo Central Hsp
		20563811	CPWR	CPWR	Compuware Corp
		17275R1	CSCO	CSCO	Cisco Sys Inc
		24702511	DELL	DELL	Dell Computer Cp
		34537011	F	F	Ford Motor Co
		38258811	GDYS	GDYS	Goody's Family Cl
		37044211	GM	GM	Gen Motors Cp
		44929511	ICOS	ICOS	Icos Corp
		45337C1	IPI	INCY	Incyte Pharmaceu
		45814011	INTC	INTC	Intel Cp
		46433011	ISIP	ISIP	Isis Pharmaceuti
		59501811	MCDE	MCDE	Microcide Pharm
		58392611	MEDD	MEDC	Med-Design Corp
		71815411	MO	MO	Philip Morris
		59491811	MSFT	MSFT	Microsoft Cp
		71271311	PSFT	PSFT	Peoplesoft Inc
		30229011	XON	XON	Exxon
		98433211	YHOO	YHOO	Yahoo! Inc

210

1010

FIG. 8



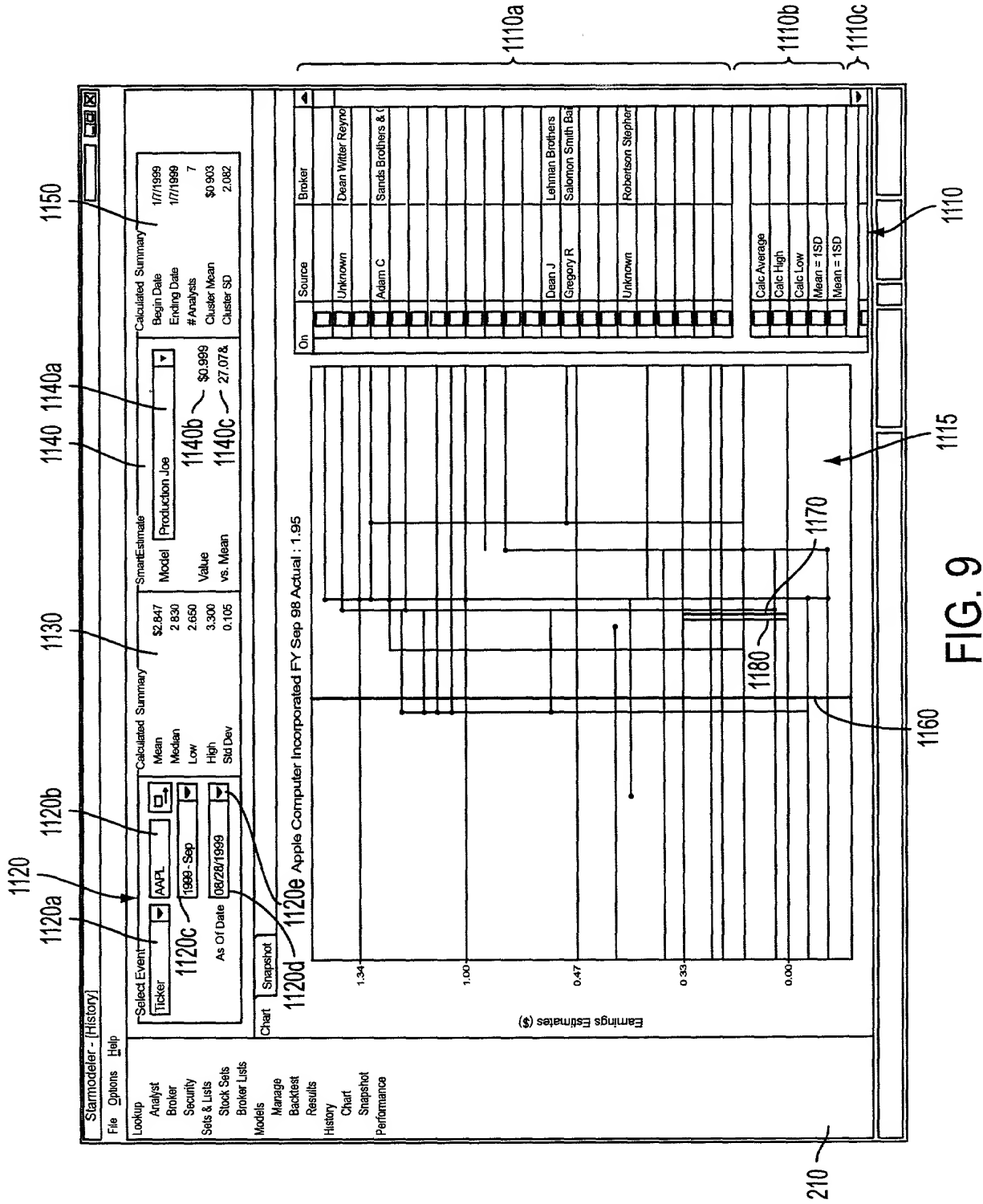


FIG. 9



FIG. 10

FIG. 11

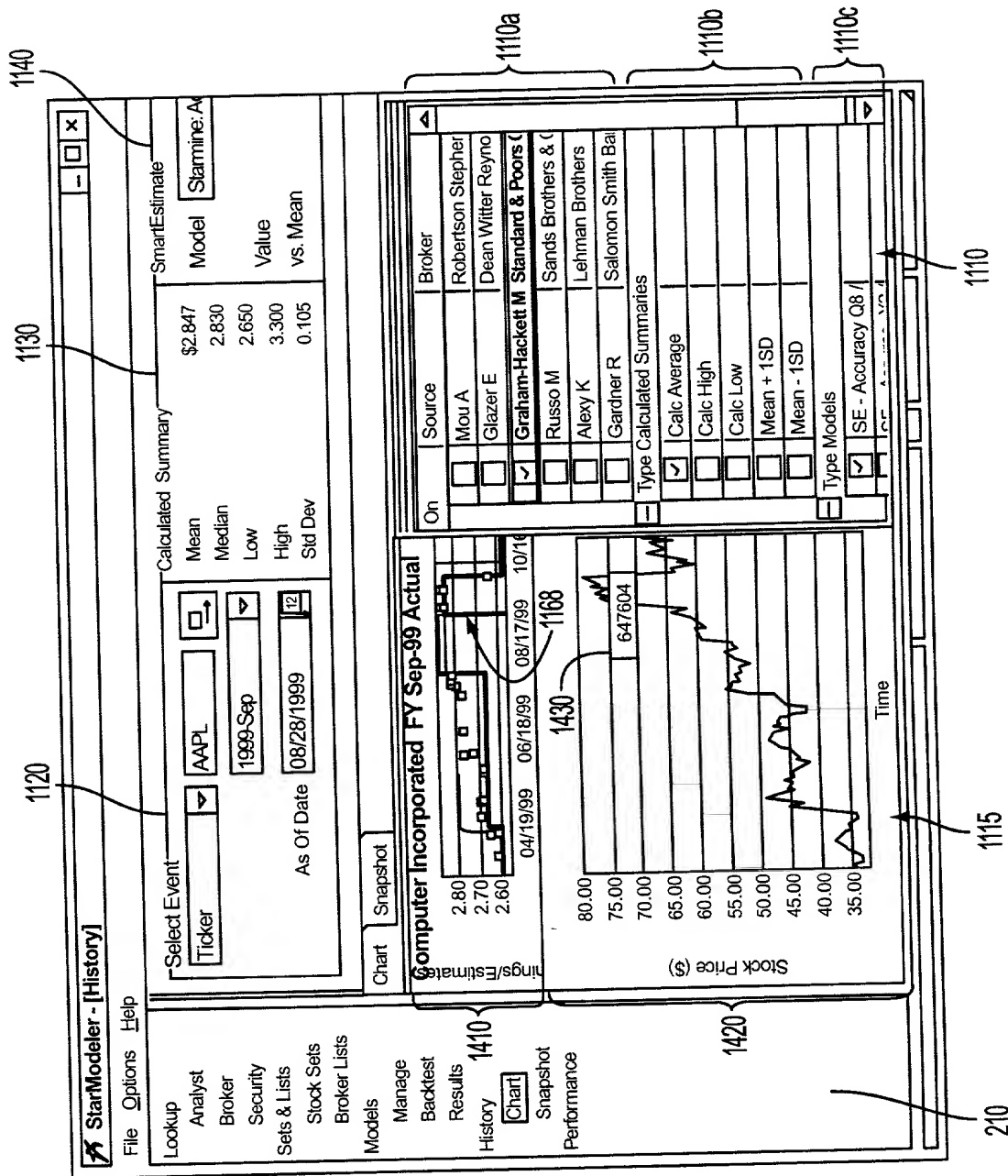
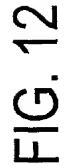


FIG. 11



2110 2120

StarModeler  
File Options Help

2170 2172 2174 2176 2178 2180 2182

Joe Accuracy 1 Accuracy 2 All Star Broker List Experience Estimate Age

Model Name

2130

Exclusions

☒ Exclude estimates older than 100 days old.

☐ Exclude estimates more than 25 ?

☒ Attempt to detect most recent cluster. Exclude all estimates prior to this point.

Cluster Definition

Adjacent estimates have a difference of no more than 3 days

Estimates are growing in same direction by at least 5 percent

☐ Find number of analysts 5

☒ Variable number of analysts

Require 2 analysts when % of experience is between 2 and 4

Require 3 analysts when % of experience is between 5 and 8

Require 4 analysts when % of experience is between 9 and 20

Require 5 ?

2140

2150

Factor Weights

Accuracy 1 40(15 51%)

Accuracy 2 40(15 51%)

All Star 0(0 00%)

Broker List 35(17 07%)

Experience 35(17 07%)

Estimate Age 35(17 07%)

2160

210

FIG. 13

2110
2172 2174

Starmodeler

File Options Help

Lookup Analyst Broker Security Sets & Lists Stock Sets Broker Lists Models Manage Backtest Results History Chart Snapshot Performance

Joe

Move

General Accuracy 1 Accuracy 2 All Star Broker List Commerce Estimate Age

2210

Calculate each analyst's average Relative Err %

Using prior  Fiscal  Period  periods

For evaluation window  to  months prior to the report date

Average H-Score

☐ by Error Score ☒ by Error Rank ☐ Equally To Top

To

☐ All Analysts ☒ Only Top

Name	Copy
Summary	
Accuracy Q8/4	
Accuracy Y3/1	
Basic Cluster	
Cluster 4A3D 2% Same	
Cluster Accuracy Q8/4	
Cluster Accuracy Y3/1	
DEFAULT	
II All Star	
Recency 90 Constant	
Recency 90 Proportional	
Research	
Accuracy%	
Cluster350+120c	
Cluster550+120c	
Cluster235 100c%	
Cluster435 100c%	
Cluster635 100c%	
New Model	
Rec 100	
Rec 300	
Rec 100	
Prediction	
Joe	

2160
210

FIG. 14

FIG. 15

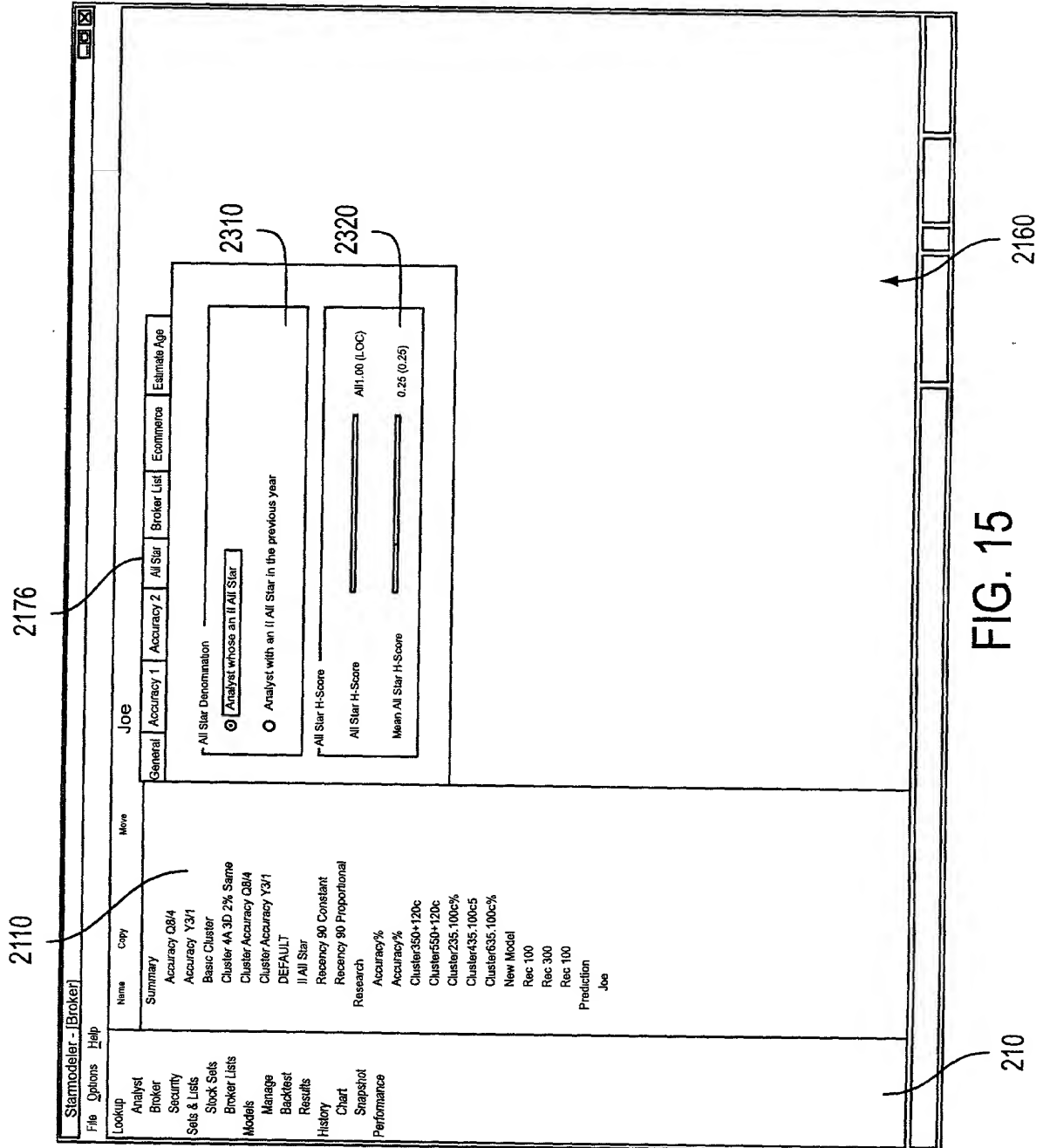


FIG. 15

FIG. 16

2510 2520 2530 210 2160

StarModeler - [Broker]

File Options Help

Lookup Analyst Broker Security Sales & Lists Stock Sels Broker Lists Models Manage Backfast Results History Chart Snapshot Performance

Name Save Rename Copy Delete

Broker List

Use

Group #3 H-Score 1.0000

Find Broker

Group #2 H-Score 0.5000

Find Broker

Group #1 H-Score 1.000

Find Broker

Broker Name

Merri Lynch

Lehman Brothers

NOVA RESEARCH

Analyst

668

122

294

329

306

306

255

293

270

1

1

5

1

105

25

22

30

25

100

Analyst

145

30

12

275

1

5



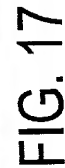
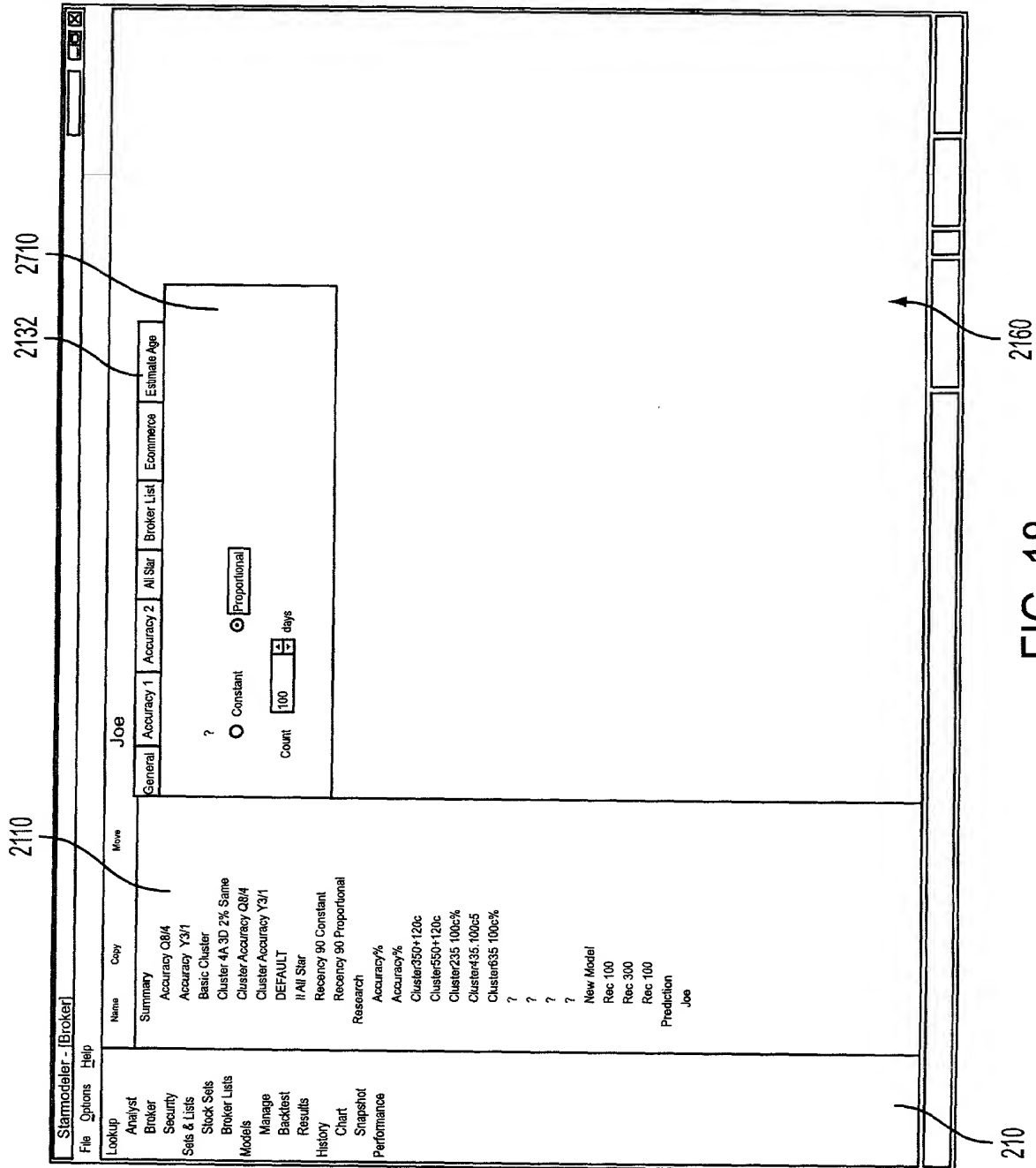


FIG. 17



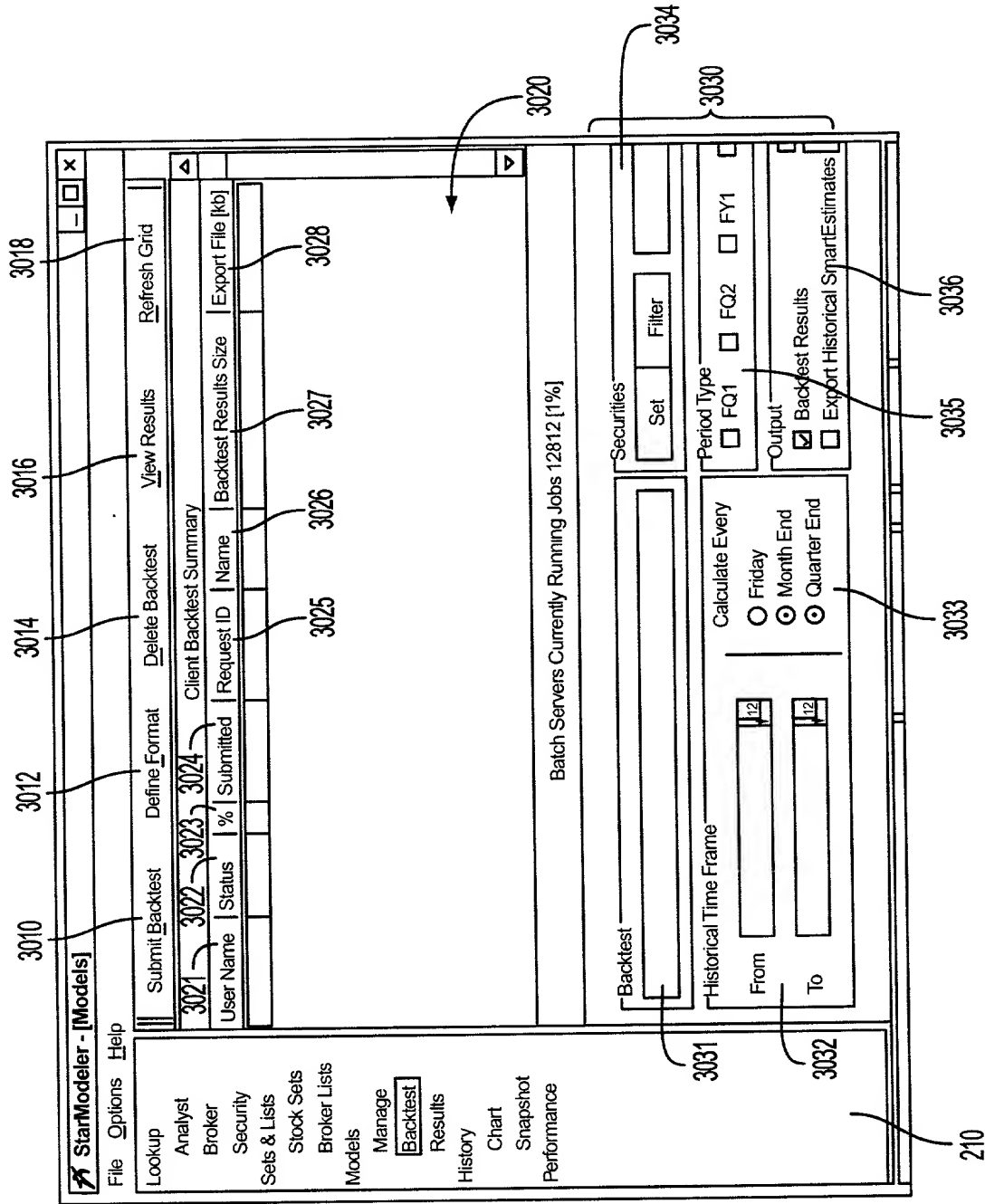


FIG. 19

3110 3130

BackTester

Models Options

Selected Model

Category: Starmine

<input type="checkbox"/>	Accuracy Q8/4
<input type="checkbox"/>	Accuracy Y3/1
<input type="checkbox"/>	AccurateAnalysts.01
<input type="checkbox"/>	Basic Cluster
<input type="checkbox"/>	Cluster 4A 3D 2% Same
<input type="checkbox"/>	Cluster Accuracy Q8/4
<input type="checkbox"/>	Cluster Accuracy Y3/1
<input type="checkbox"/>	Clusters.01
<input type="checkbox"/>	DEFAULT
<input type="checkbox"/>	ExperiencedAnalysts.01
<input type="checkbox"/>	II All Star
<input type="checkbox"/>	Recency 90 Constant
<input type="checkbox"/>	Recency 90 Proportional
<input type="checkbox"/>	StarEstimate.01
<input type="checkbox"/>	TopBrokers.01

☒ Submit

X Close

? Help

3120 3140

FIG. 20

3130

**BackTester**

Models Options

3210

Backtest

3220

Securities

Set Filter

No Group Selected

3230

Historical Time Frame

From 1/1/95 12

To 12/31/99 12

Calculate Every

☐ Friday

☐ Month End

☒ Quarter End

3240

Period Type

☐ FQ1 ☐ FQ2 ☐ FY1 ☐ FY2 ☐ FQ5 ☐ FY5

3250

Output

☒ Backtest Results

☐ Export Historical Smart Estimates

Format

Define/Select

3260

Submit Close Help

FIG. 21

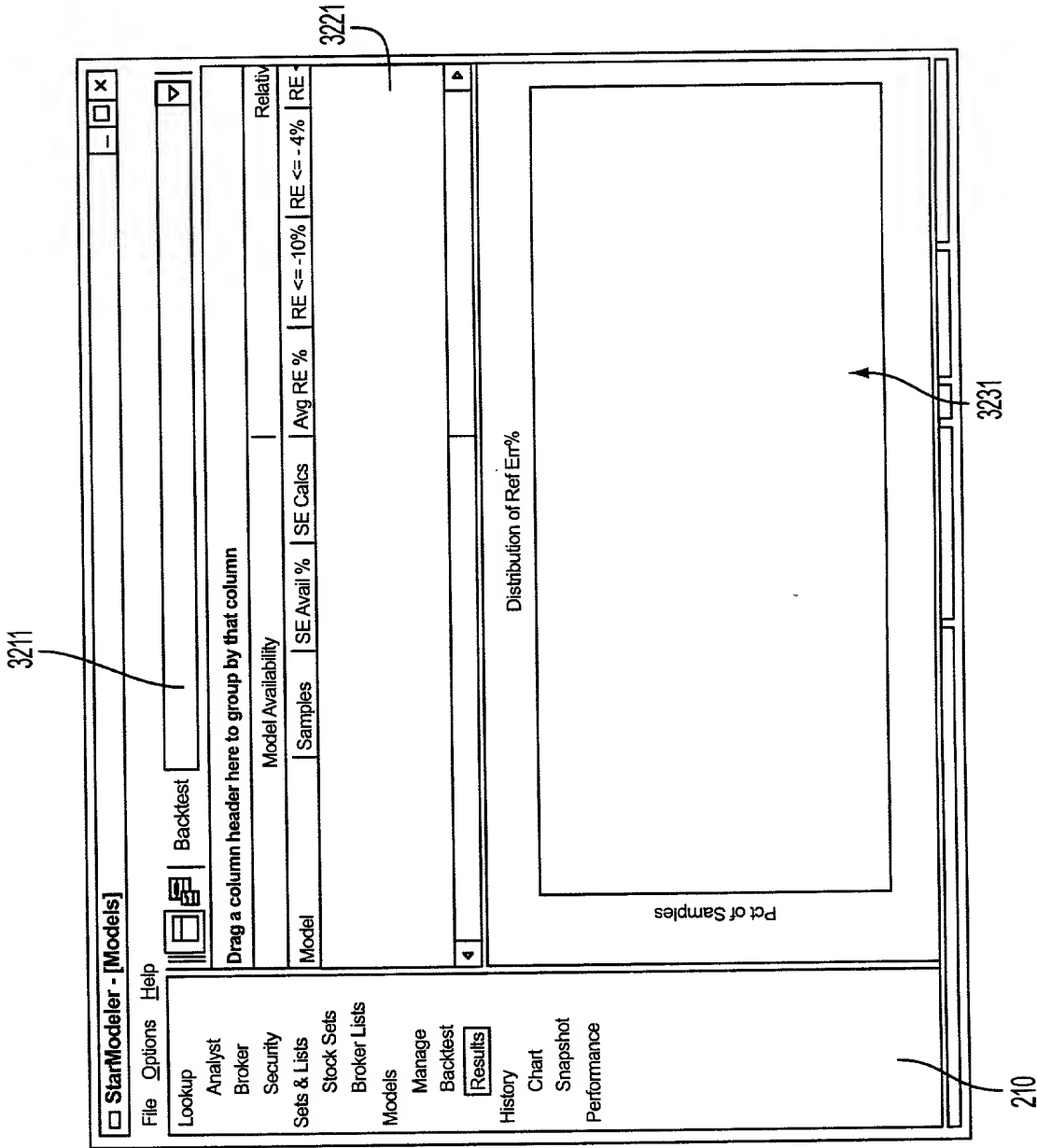


FIG. 22

FIG. 23

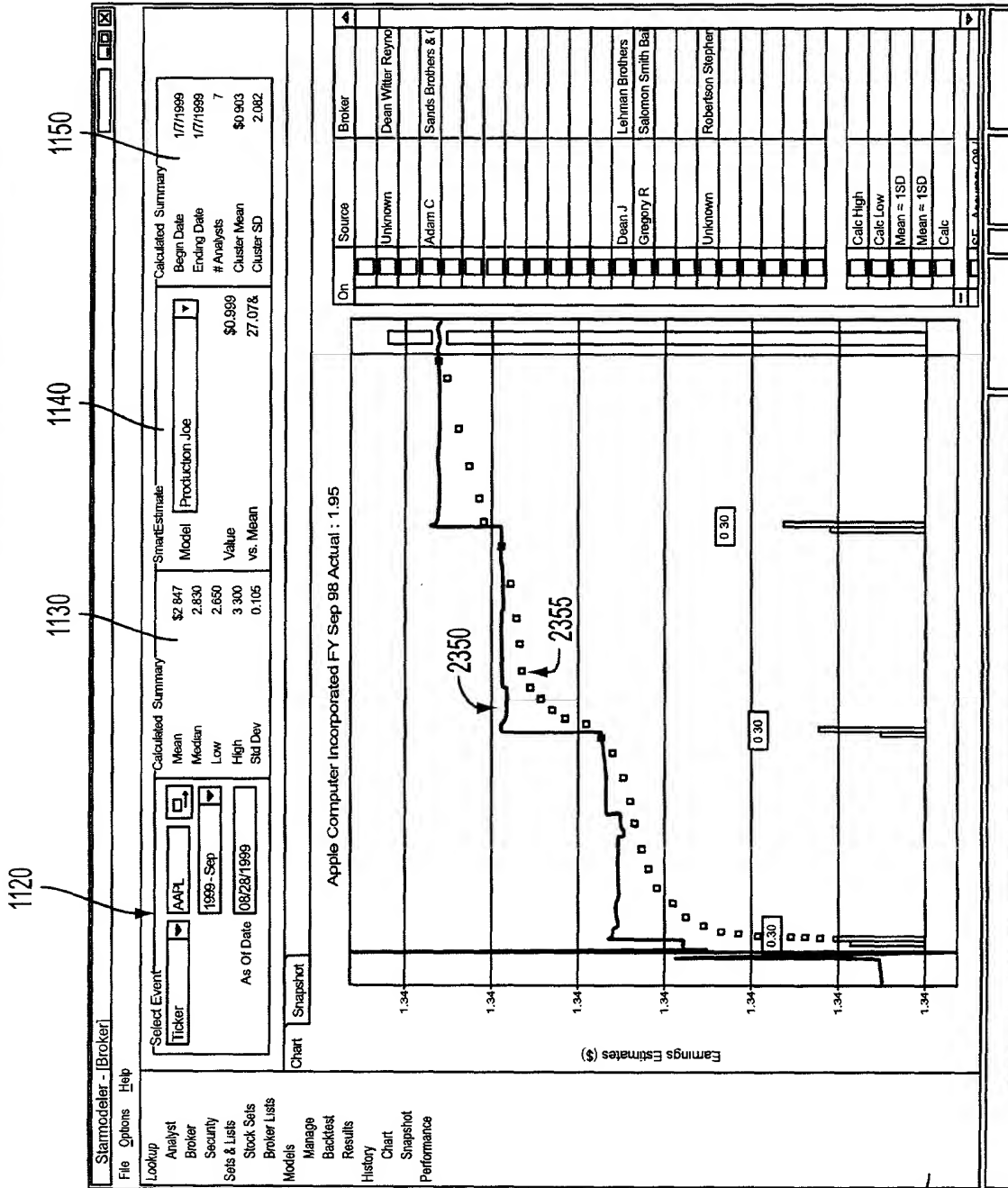


FIG. 23

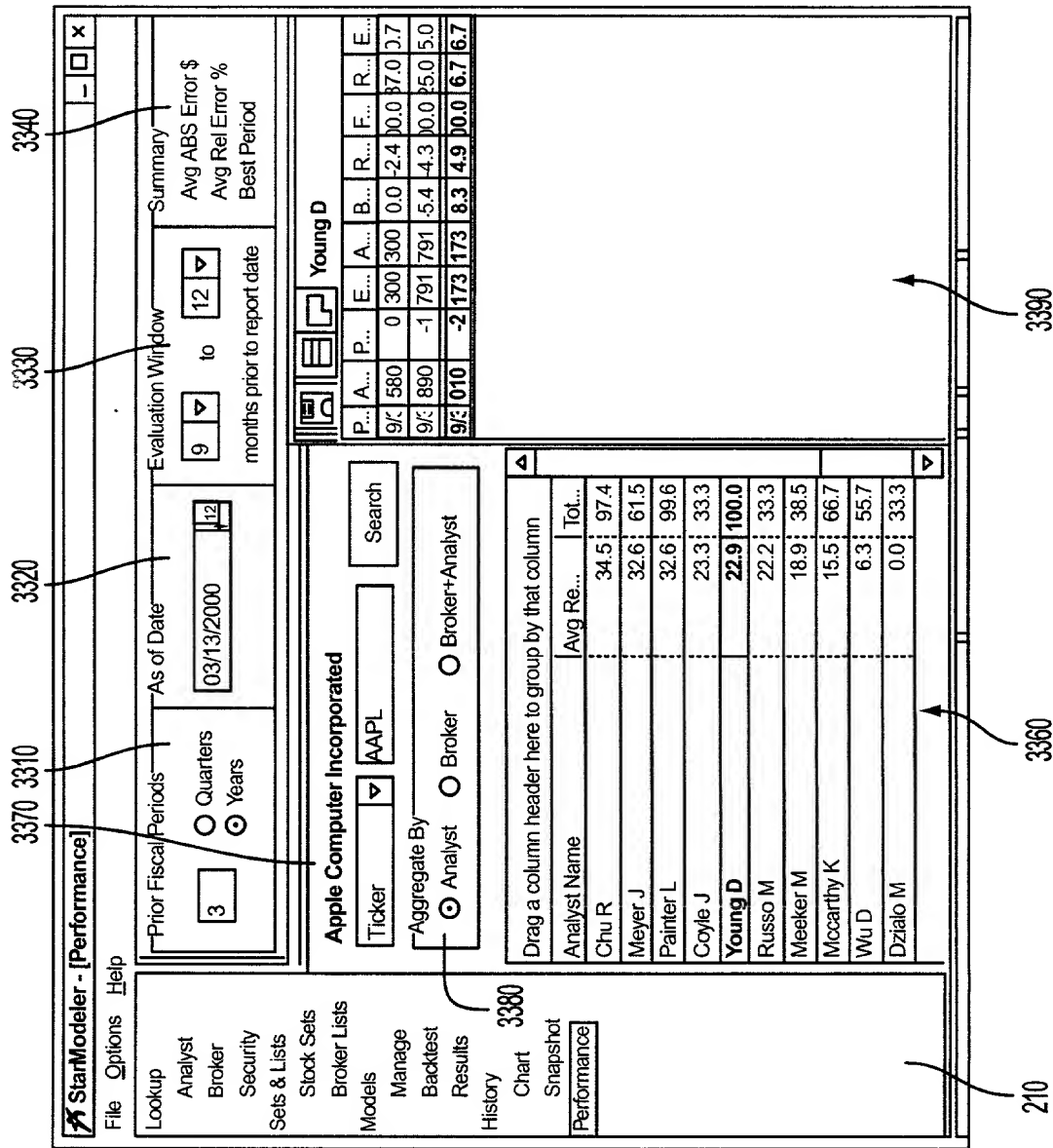


FIG. 24



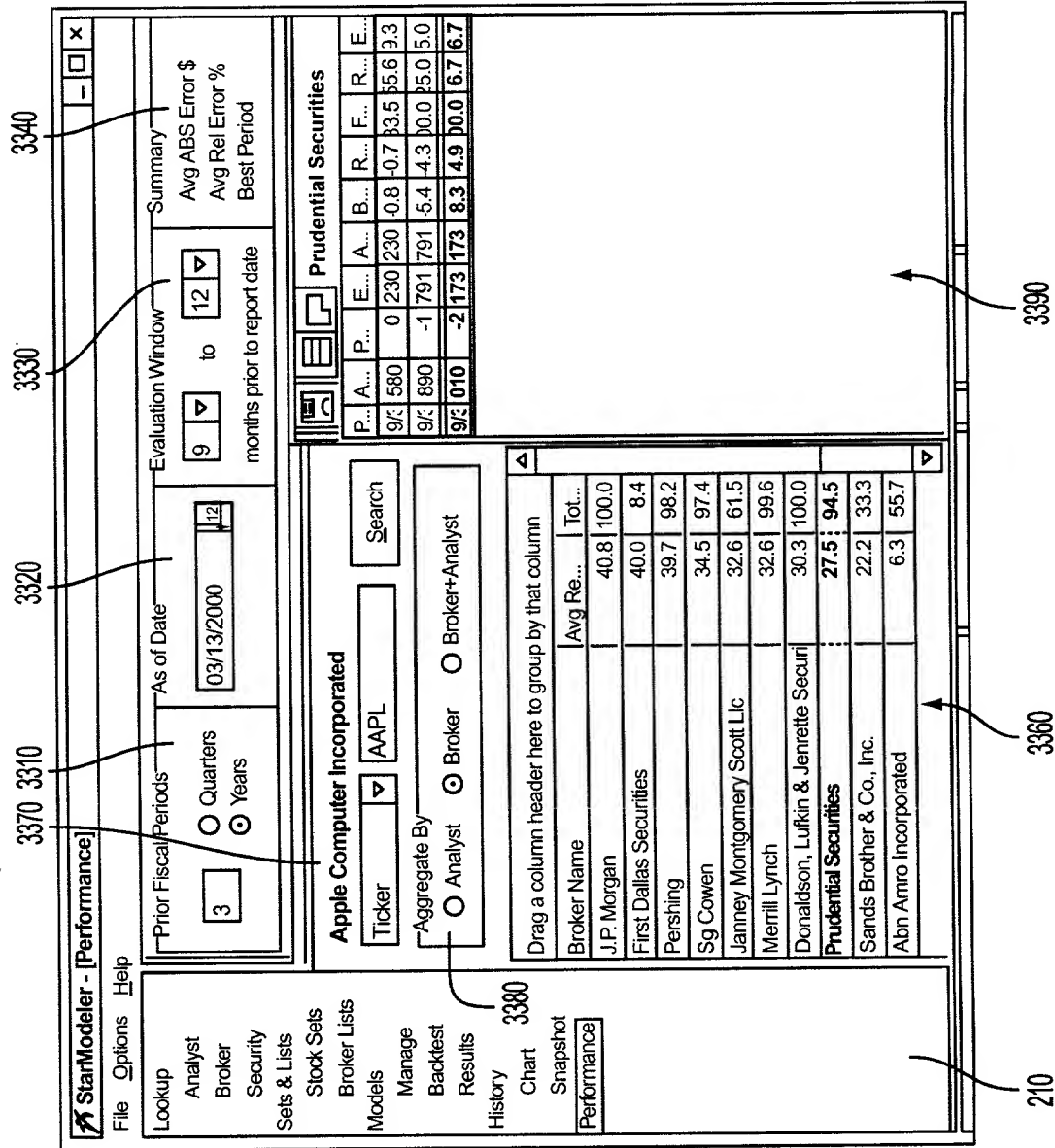


FIG. 25

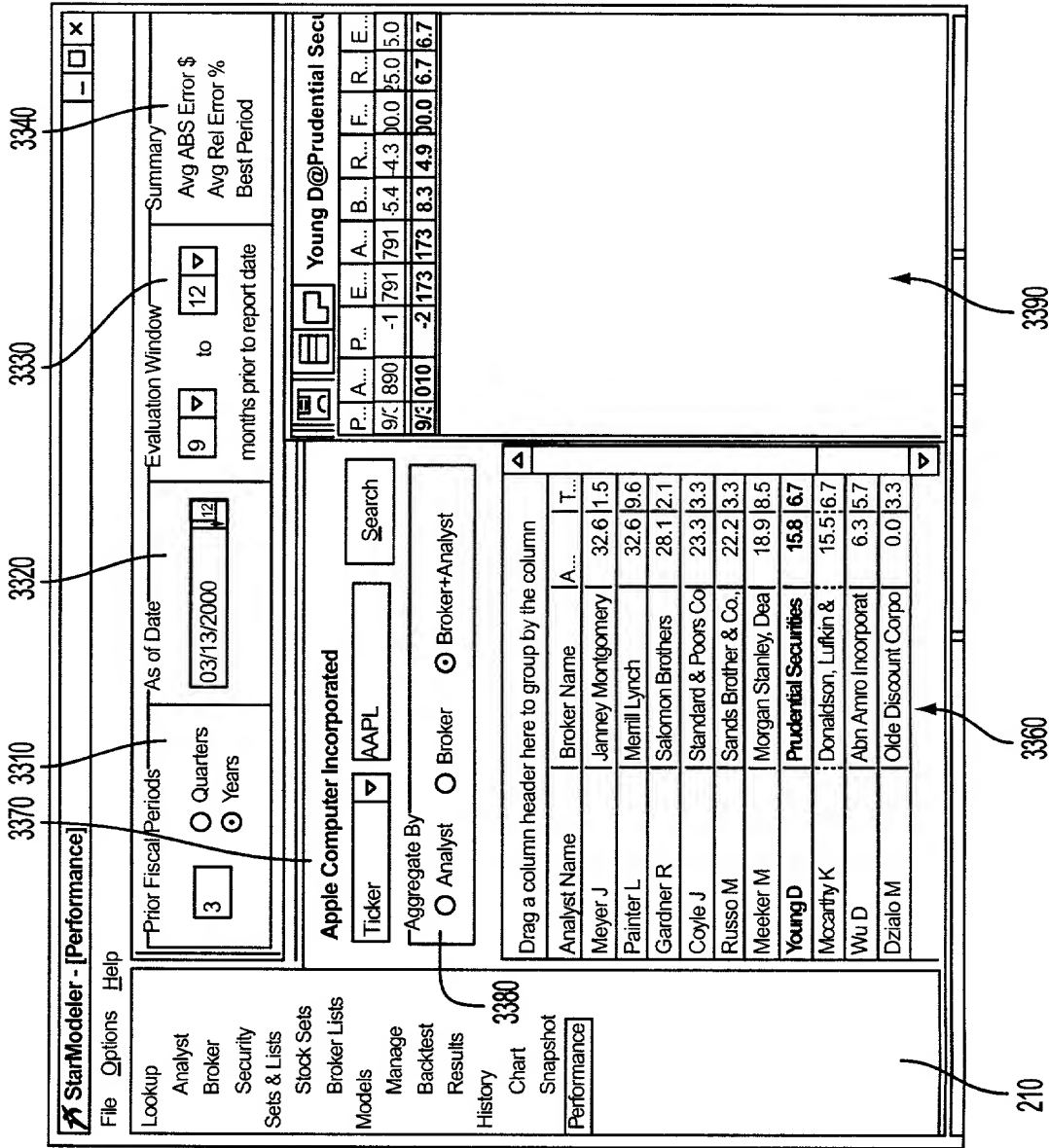


FIG. 26

FIG. 27

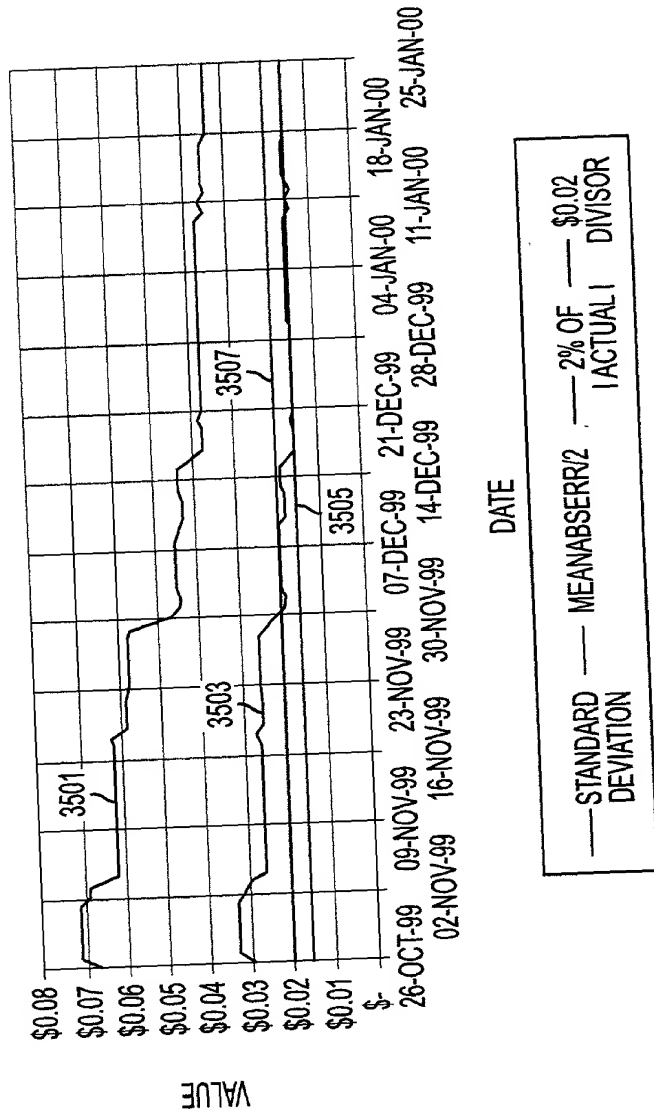


FIG. 27

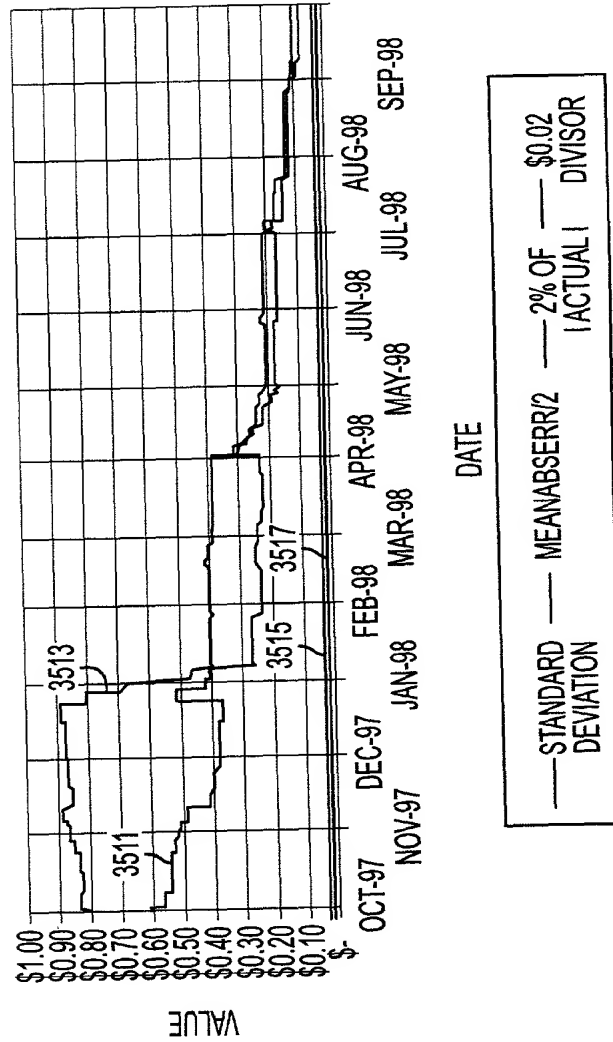


FIG. 28

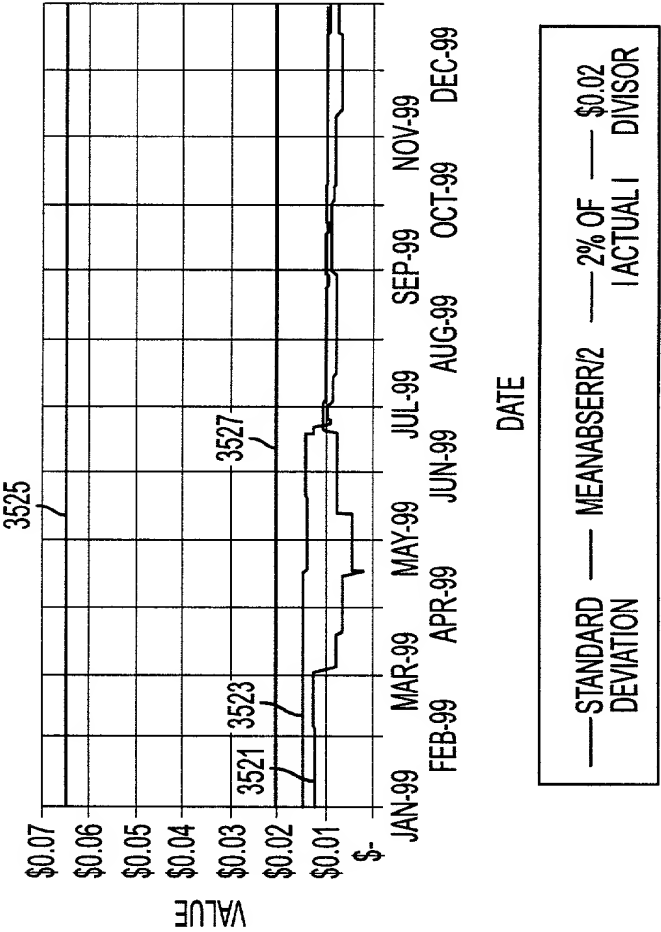


FIG. 29

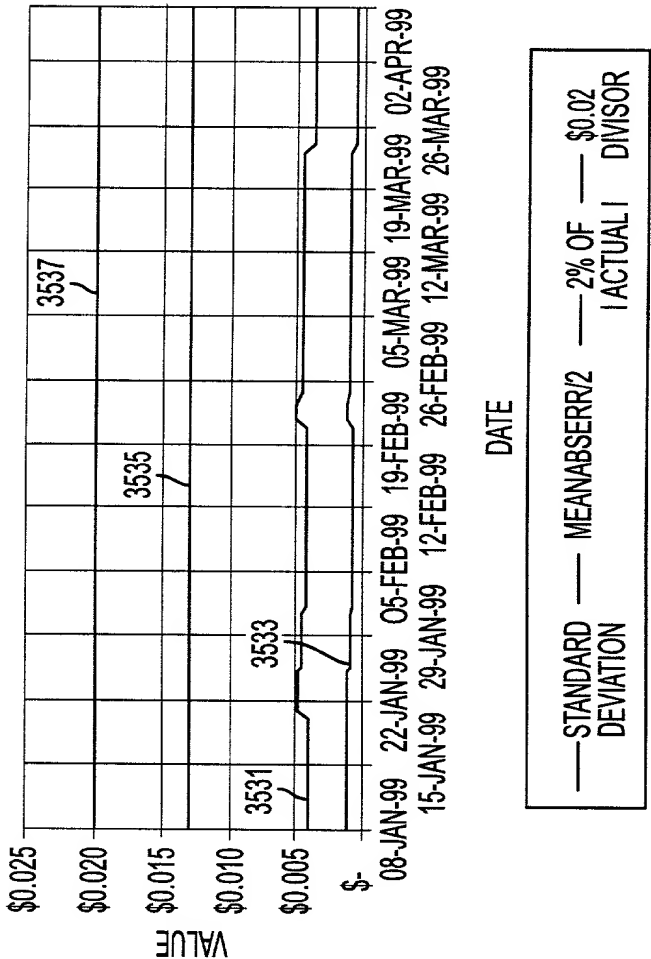


FIG. 30

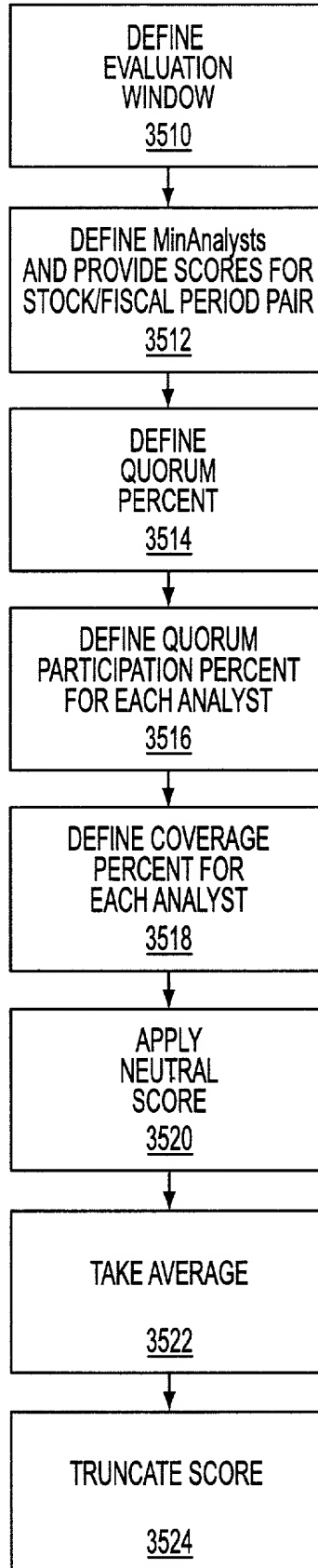


FIG. 31

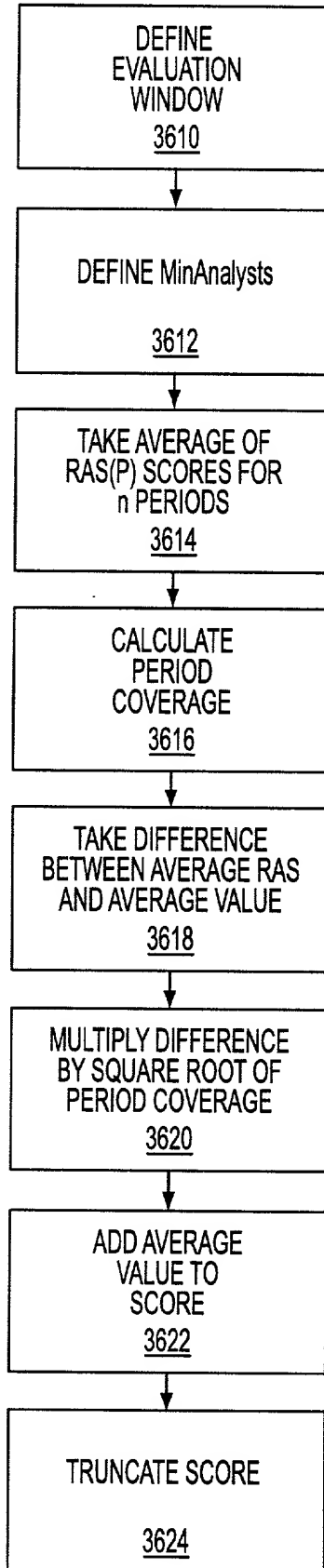


FIG. 32



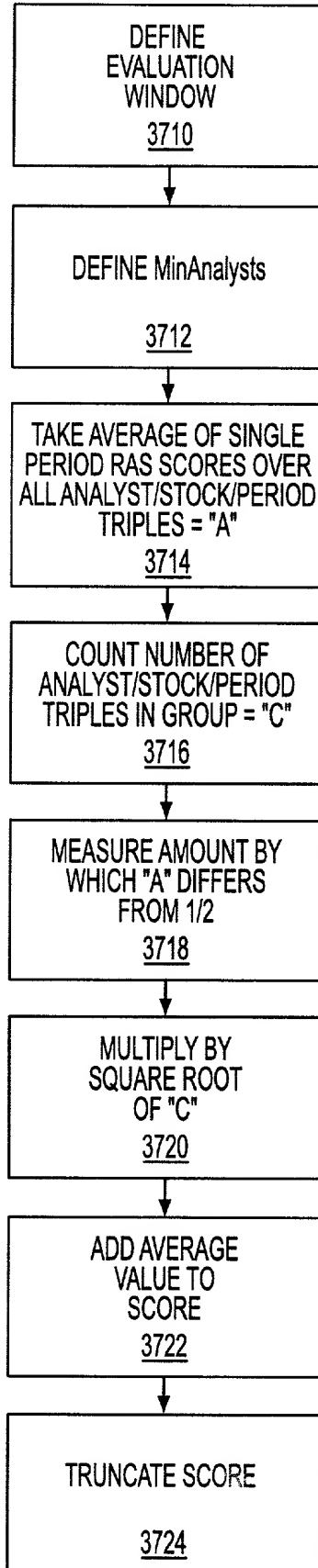


FIG. 33

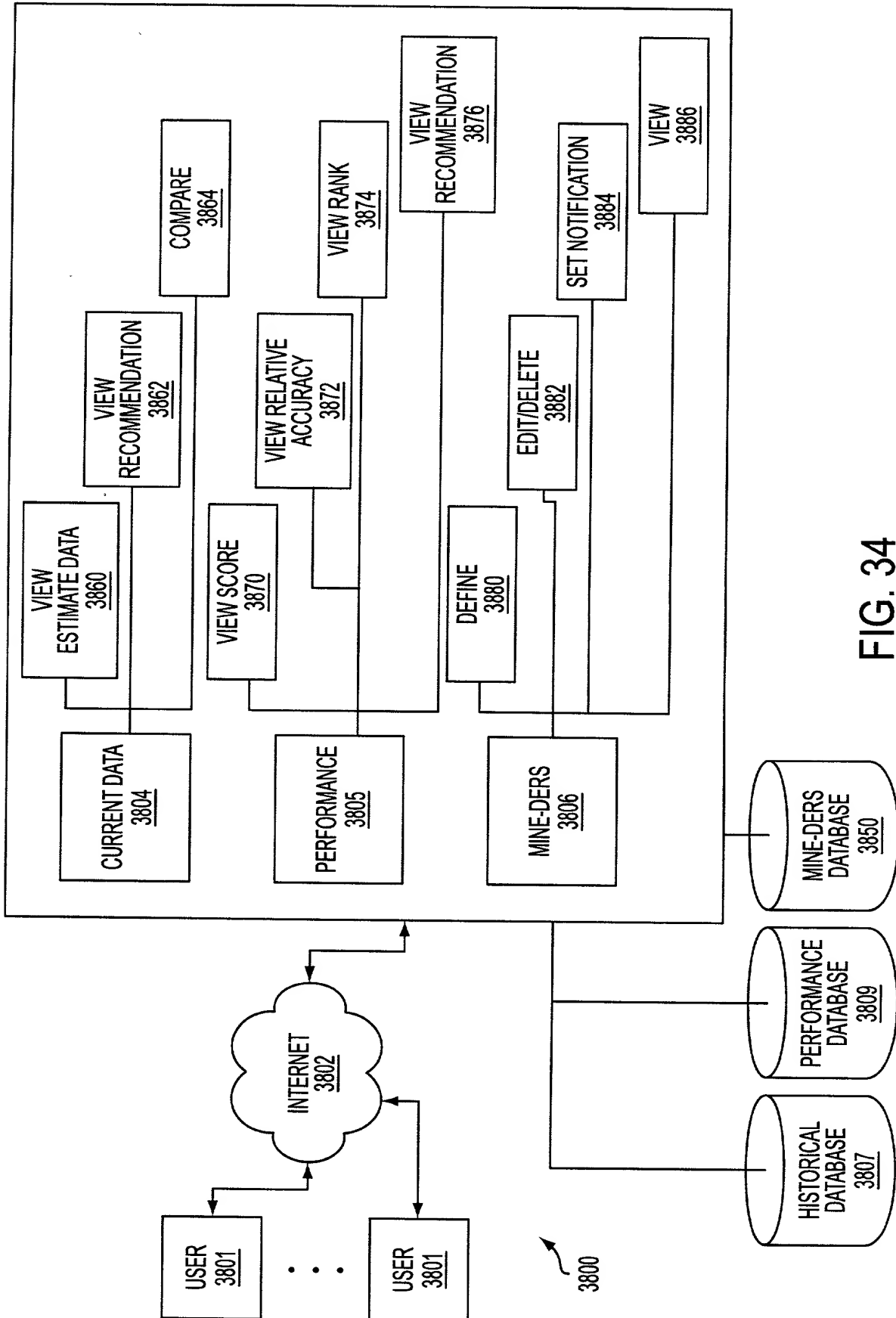


FIG. 34

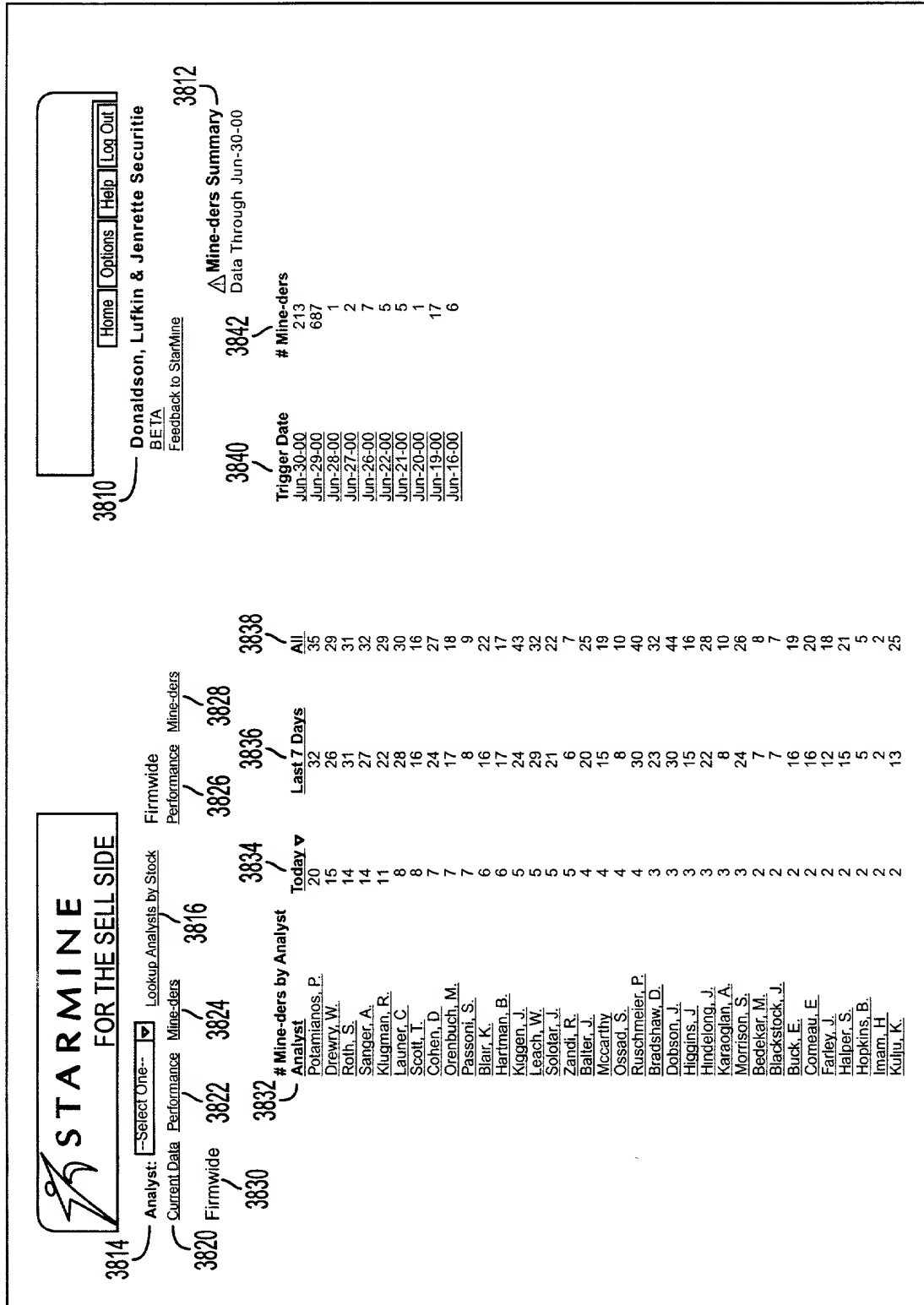
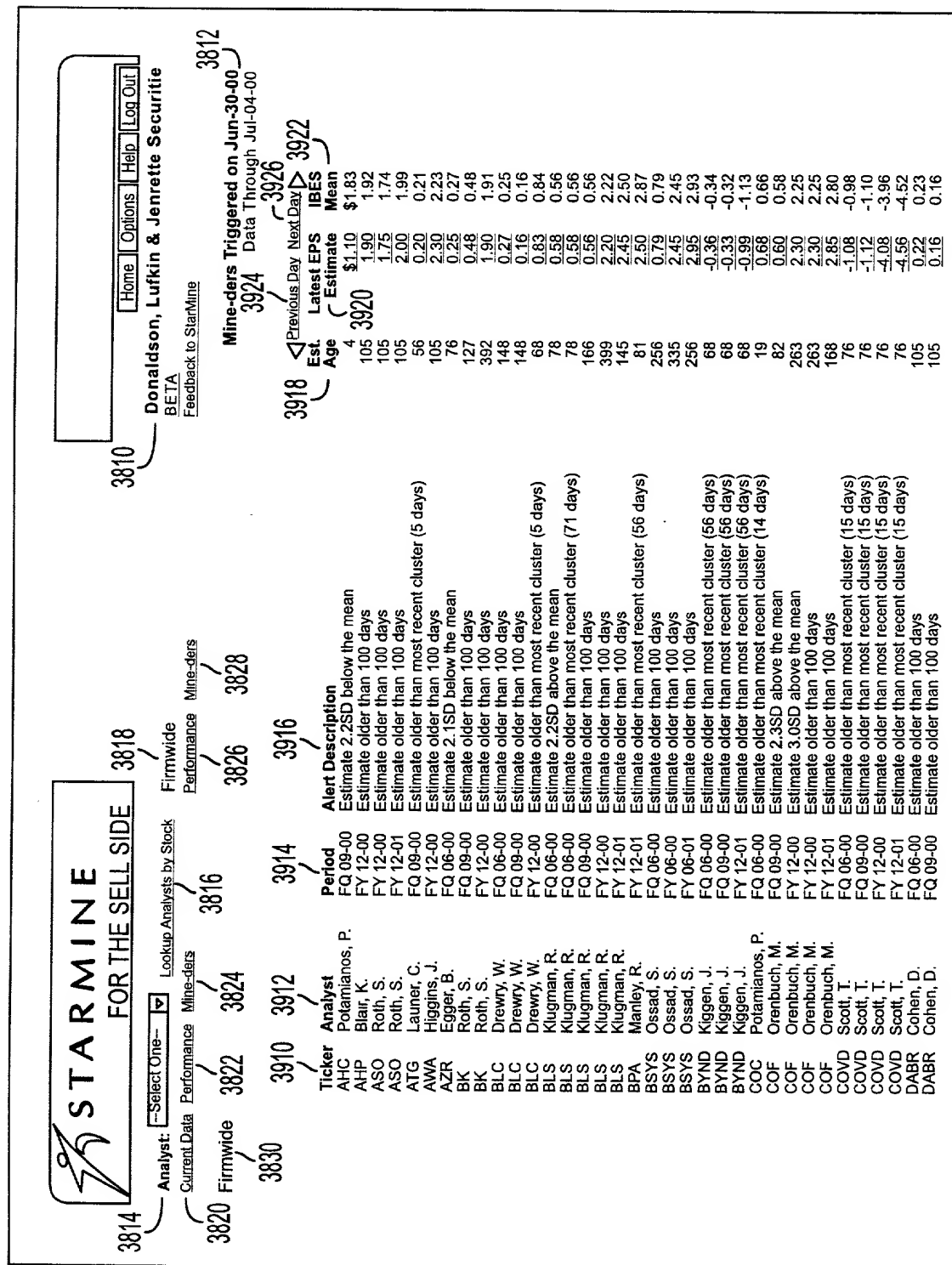
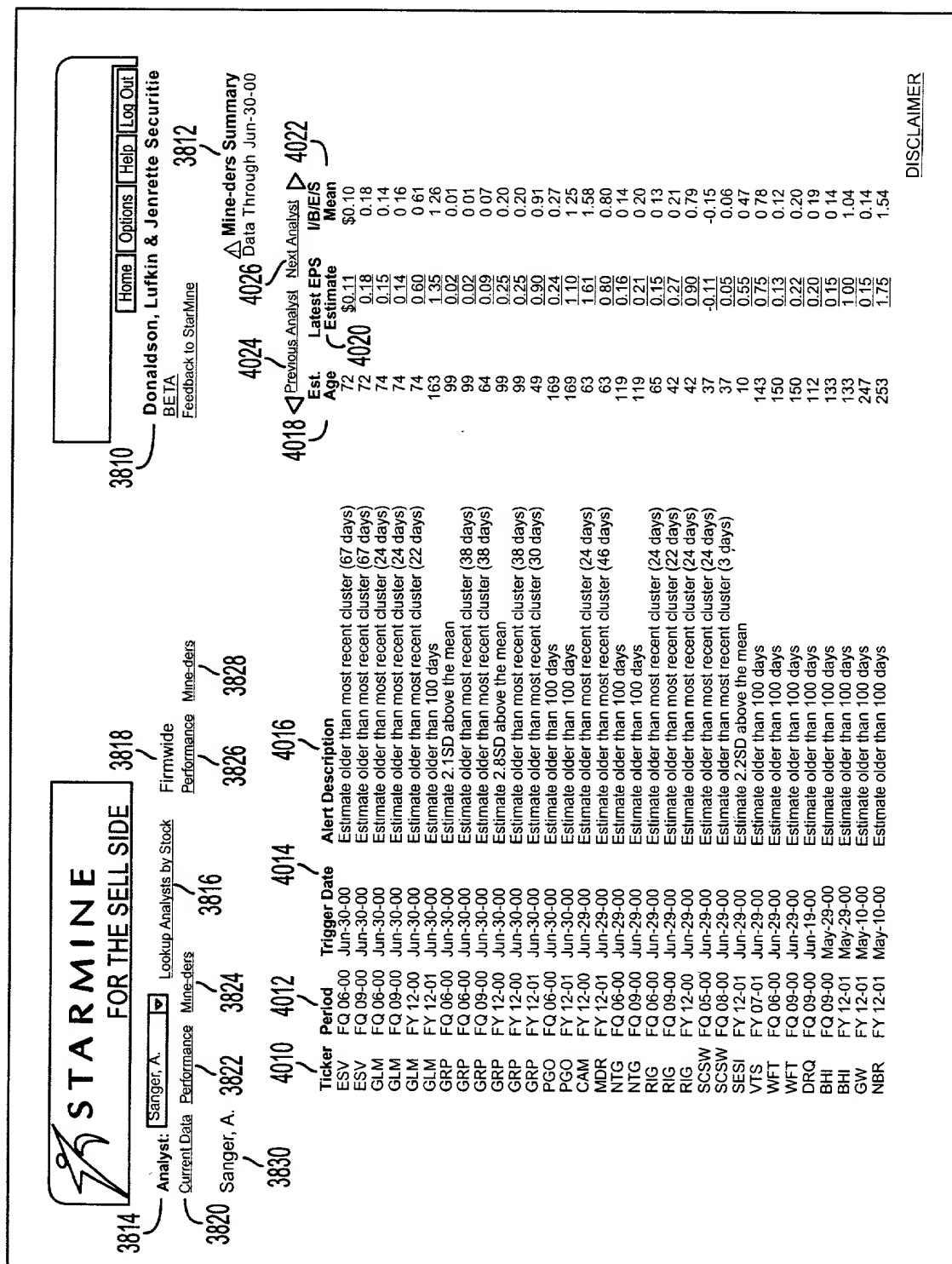


FIG. 35



**FIG. 36**



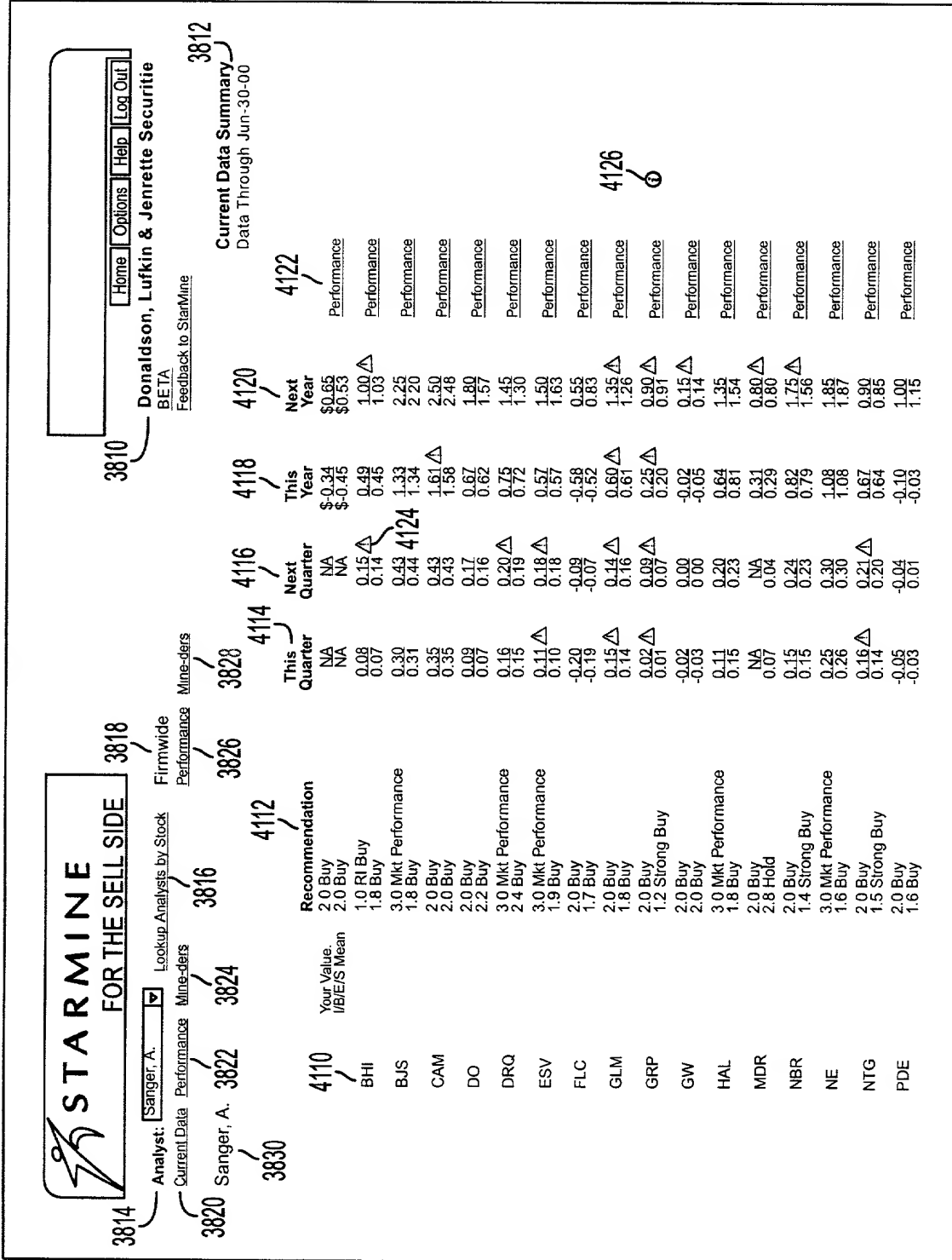


FIG. 38

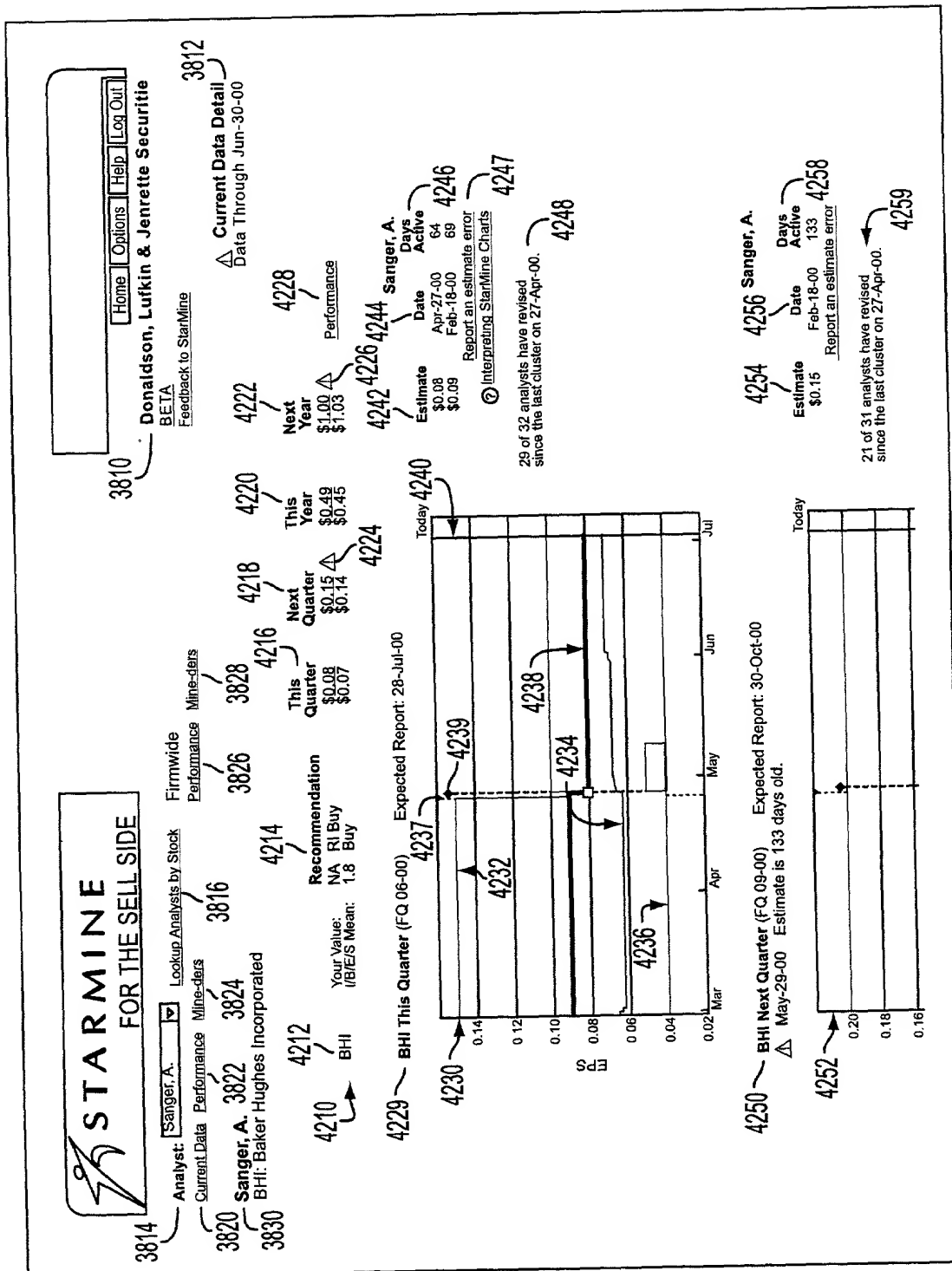


FIG. 39A

FIG. 39B

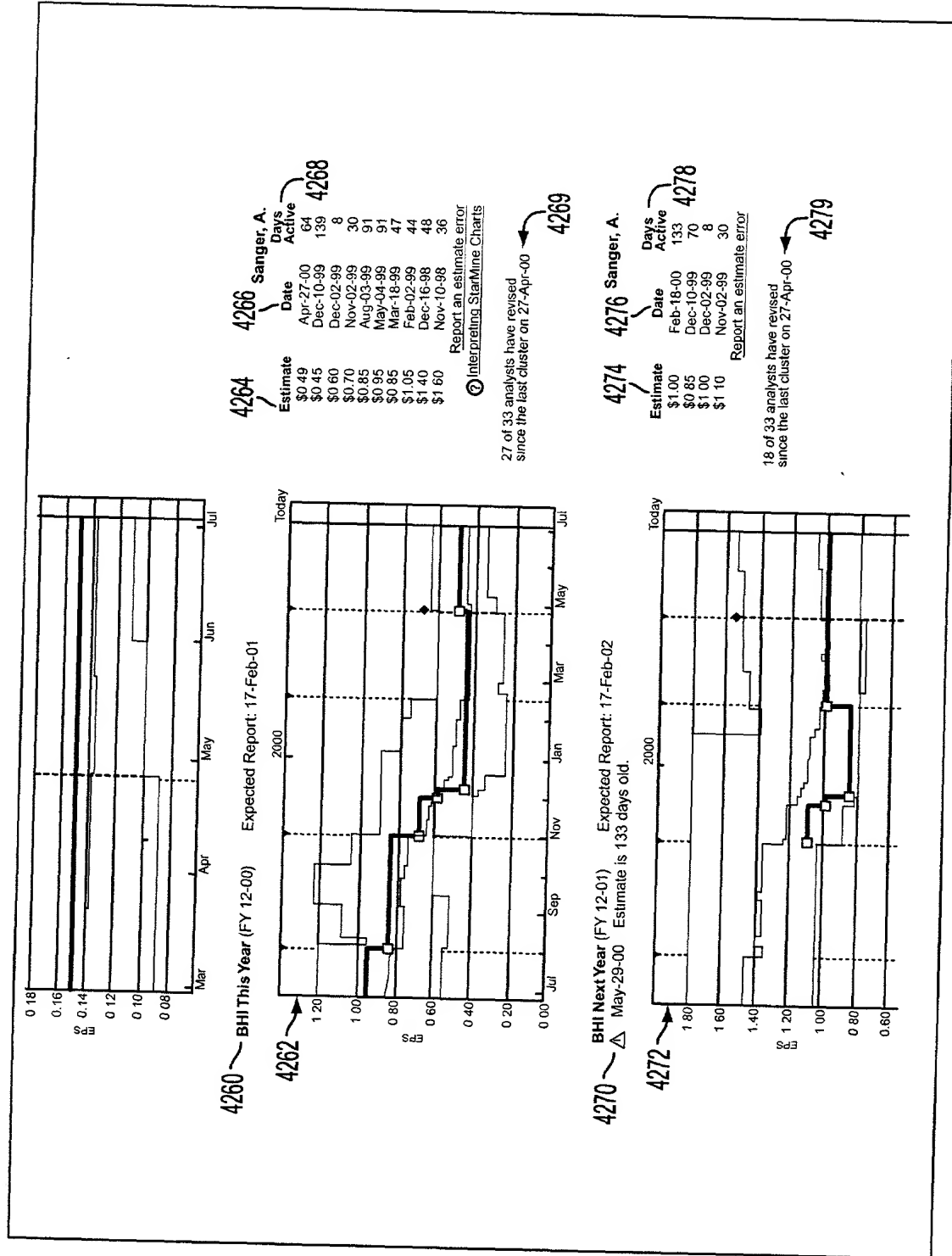
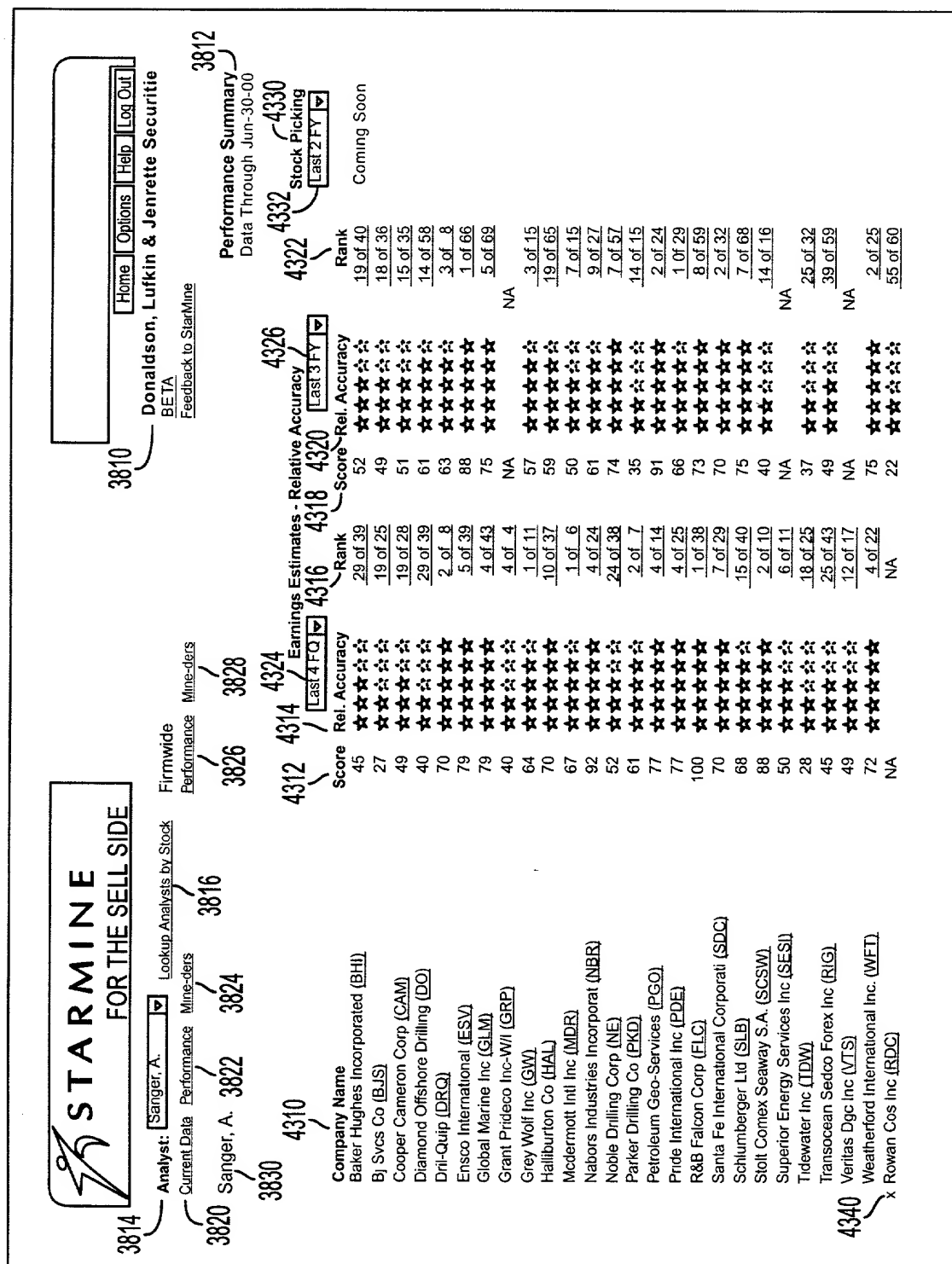


FIG. 39B





**FIG. 40**

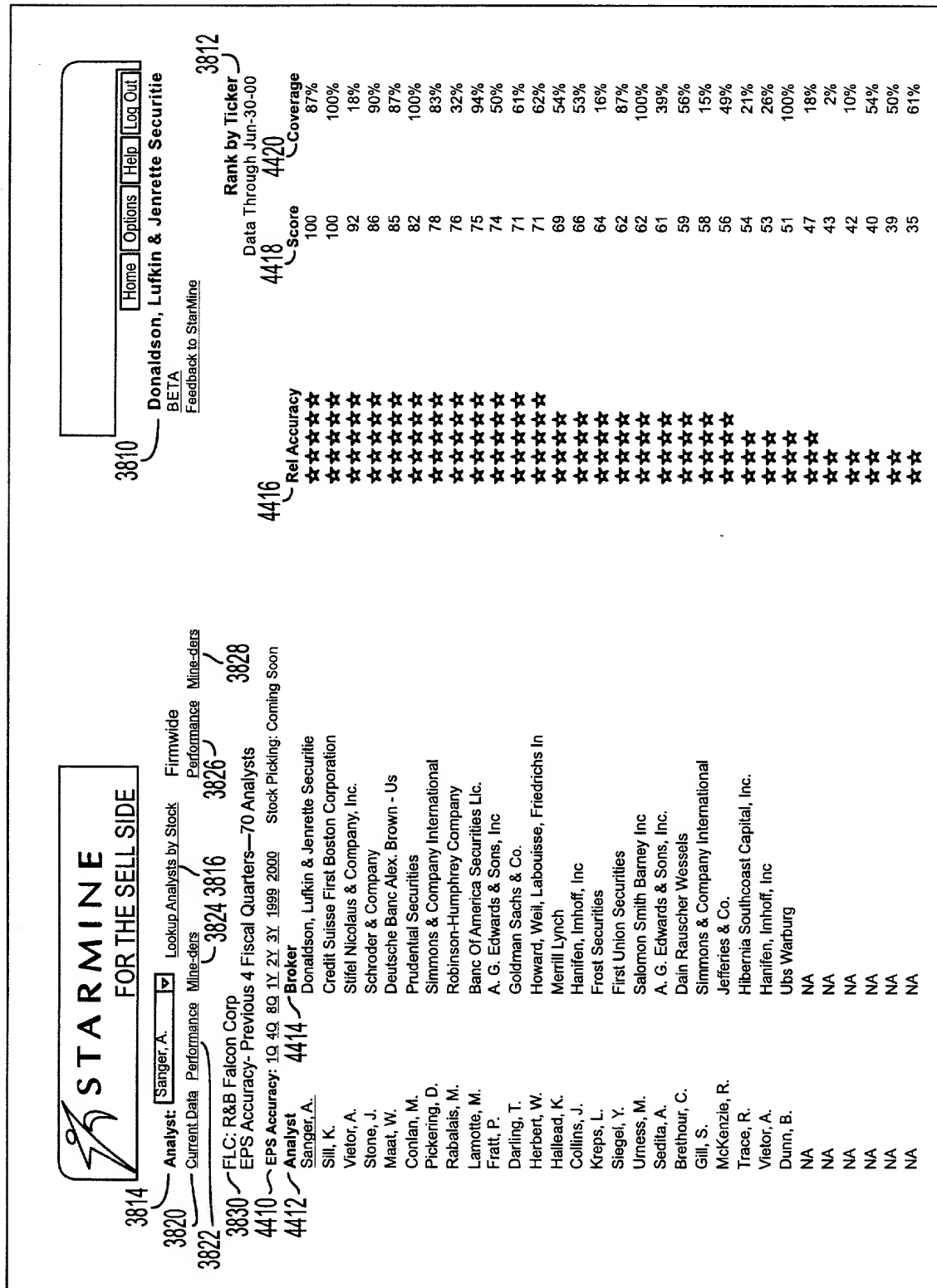


FIG. 41

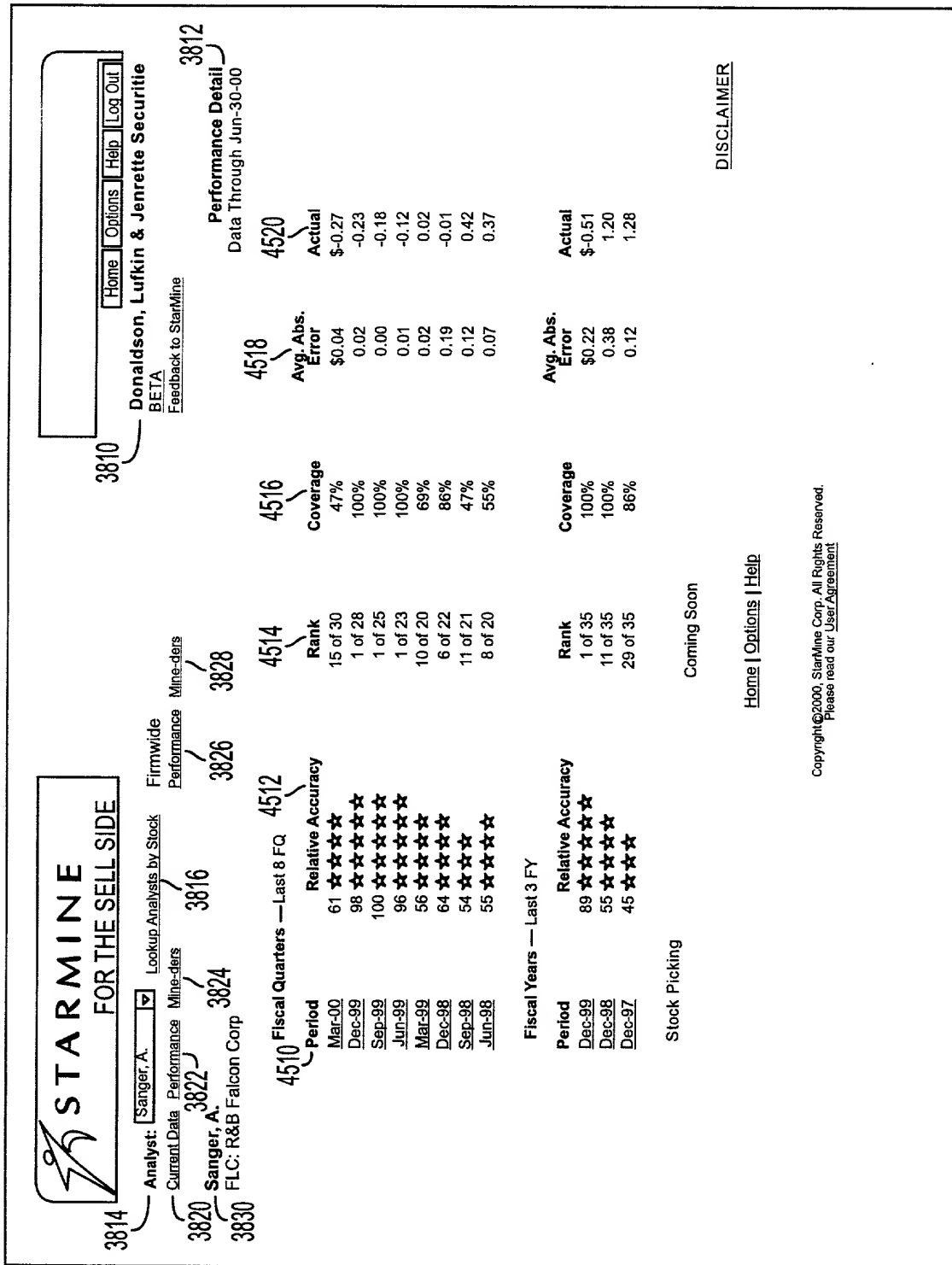
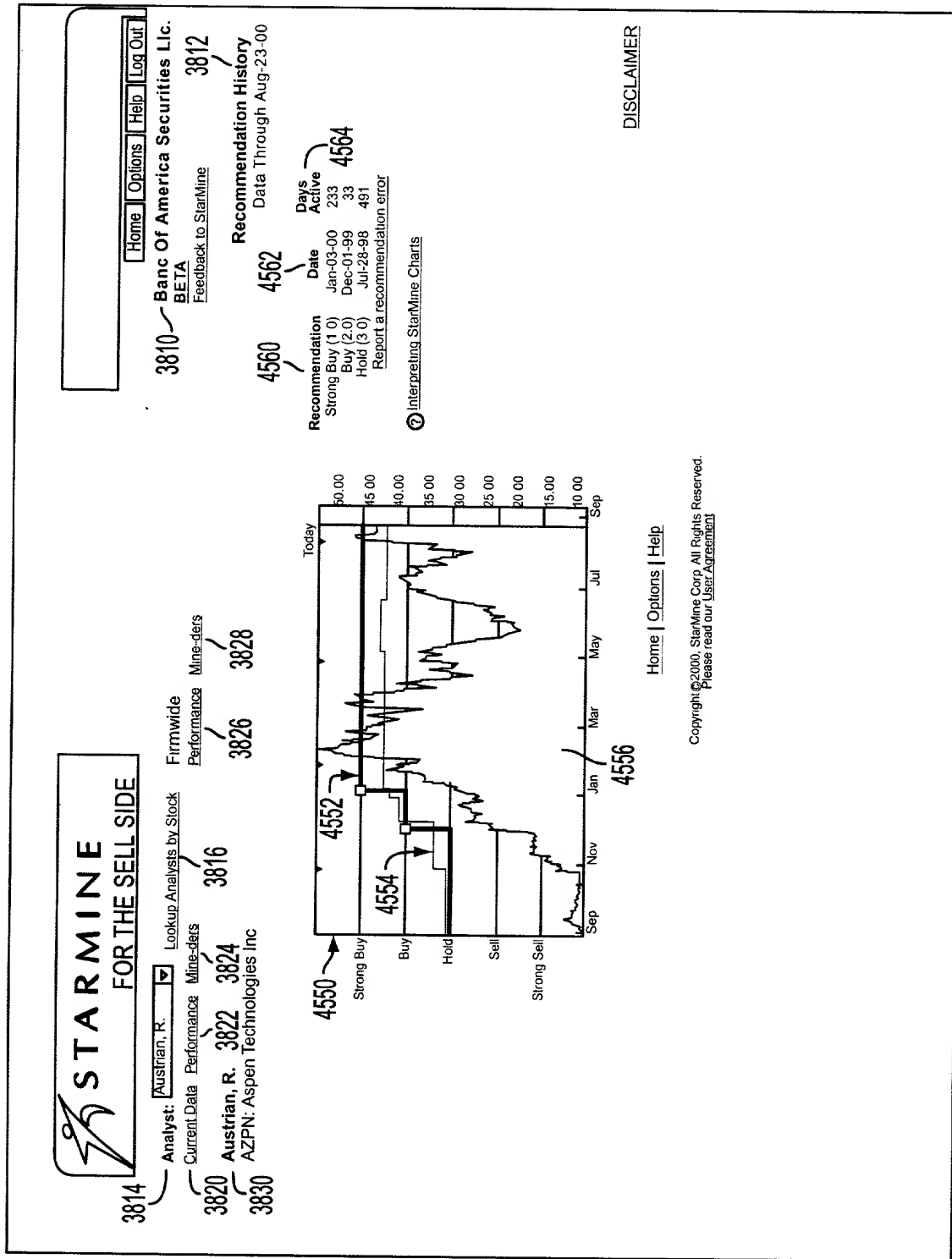


FIG. 42



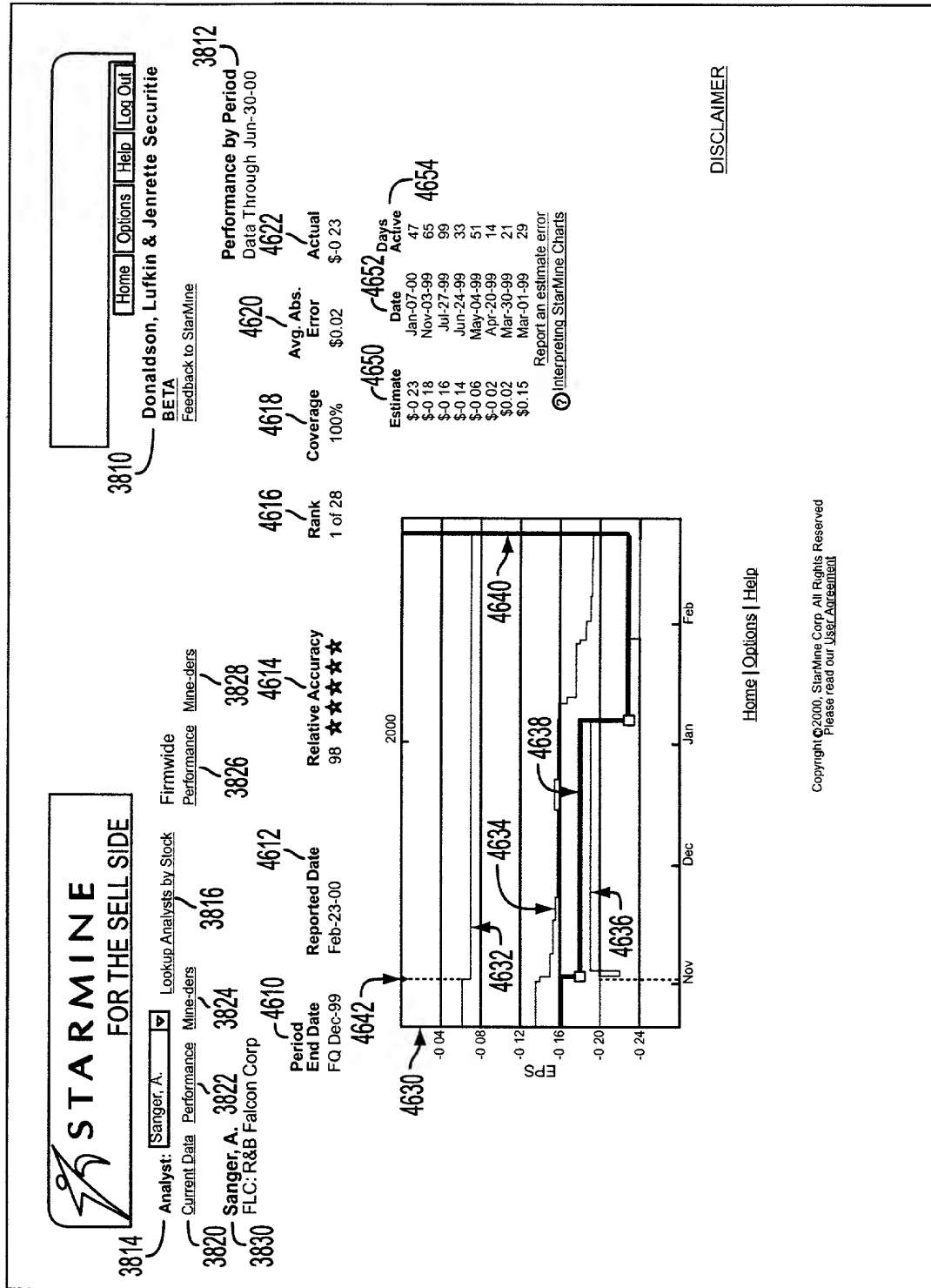


FIG. 44

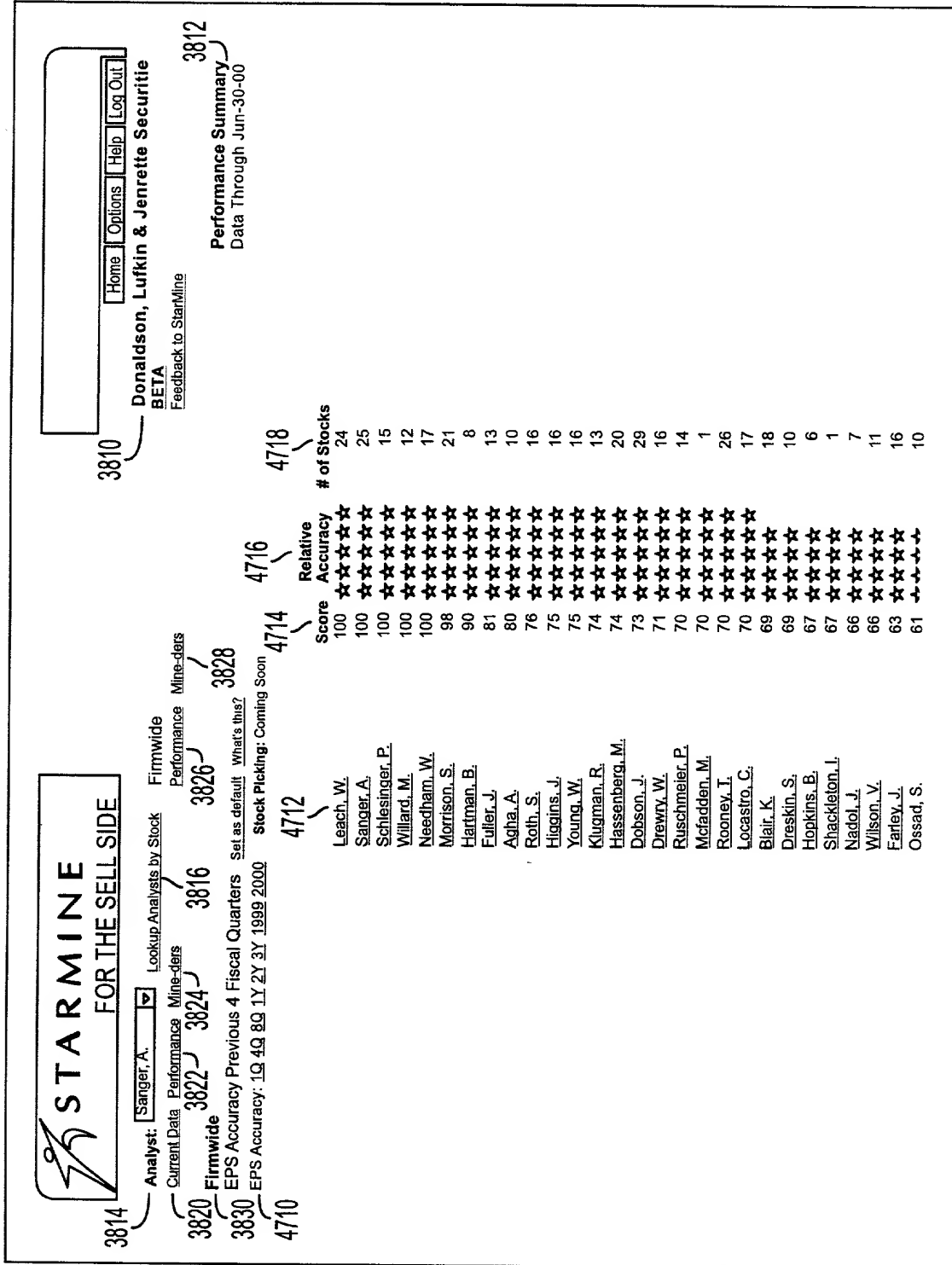


FIG. 45A

Ossad, S.	61	★★★★	10
Looby, R.	61	★★★★	12
Nichols, M.	60	★★★★	12
Sulam, M.	59	★★★★	18
Egger, B.	58	★★★★	11
Kiggen, J.	58	★★★★	37
Bedekar, M.	57	★★★★	11
Bhutani	56	★★★★	1
Buck, E.	55	★★★★	15
Hindelong, J.	54	★★★★	26
Galvin, J.	54	★★★★	2
McMurry, D.	53	★★★★	5
Blount, H.	53	★★★★	13
Neimeh, G.	53	★★★★	23
Scott, I.	53	★★★★	8
Passoni, S.	52	★★★★	10
Ross, E.	50	★★★★	3
Miciciche, M.	49	★★★★	3
Inam, H.	49	★★★★	2
Schaeffer, P.	46	★★★★	10
Karaoglan, A.	44	★★★★	10
Halper, S.	44	★★★★	22
Smith, D.	43	★★★★	2
Blackstock, J.	42	★★★★	14
Comeau, E.	39	★★★★	10
Carpenter, S.	38	★★★★	12
Solotar, J.	38	★★★★	13
Kulju, K.	37	★★★★	15
Leibowitz, D.	37	★★★★	2
Longley, A.	37	★★★★	18
Cohen, D.	35	★★★★	27
Jones, G.	28	★★★★	1
Balter, J.	27	★★★★	32
Orenbuch, M.	23	★★★★	9
Bhutani, J.	21	★★★★	1
Meyer, J.	19	★★	12
Launer, C.	17	★★	21
Weinstein, E.	10	★★	1
Halper	10	★★	2
Potamianos, P.	0	★★	14
Petersik, B.	0	★★	3
McCarthy	0	★★	19
Bradshaw, D.	0	★★	20

FIG. 45B

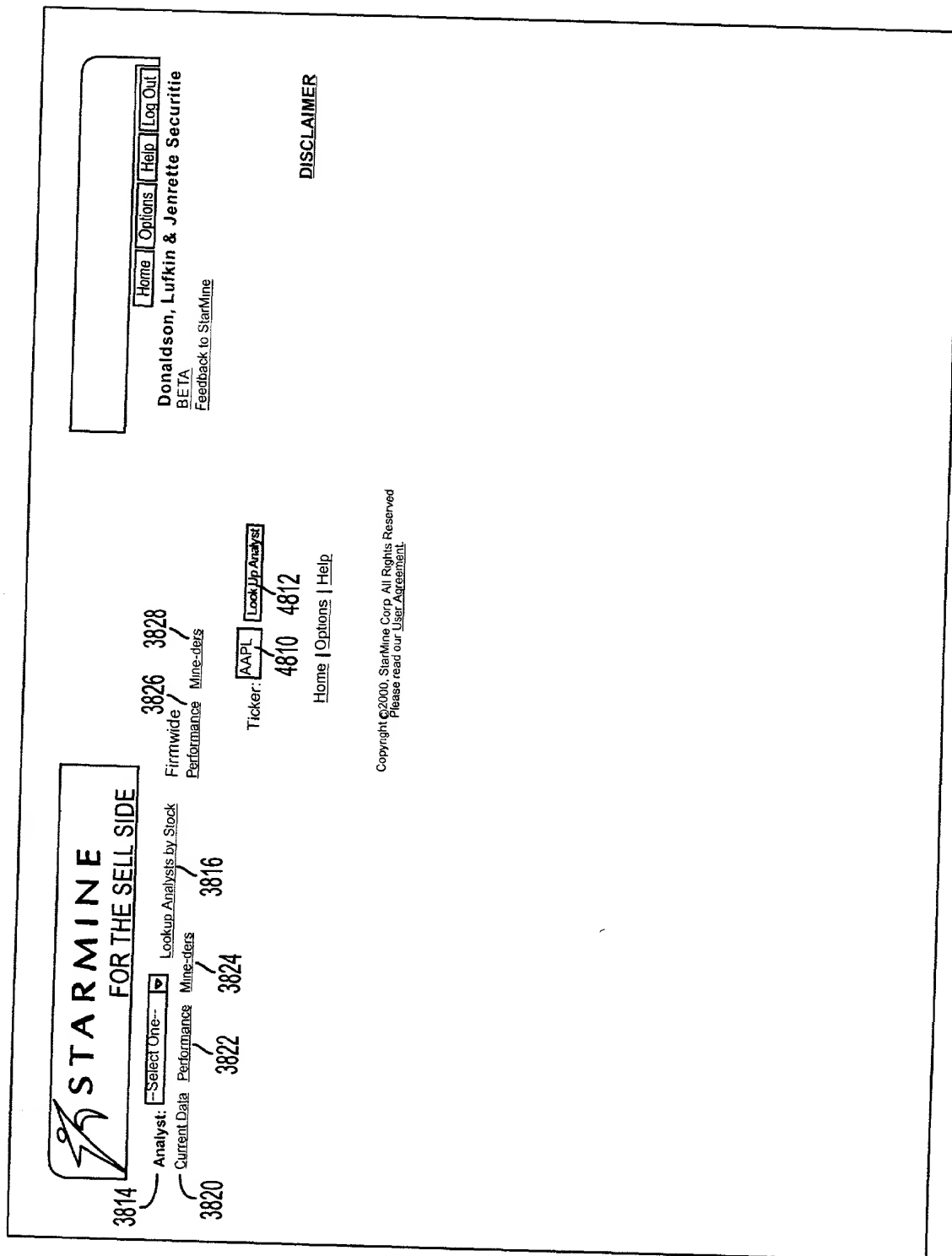


FIG. 46



FIG. 47

Title: "Security Analyst Estimates Performance Viewing System and Method"  
Continuation of U.S. Application Serial No. 09/722,050  
Attorney Docket No. 23449-020

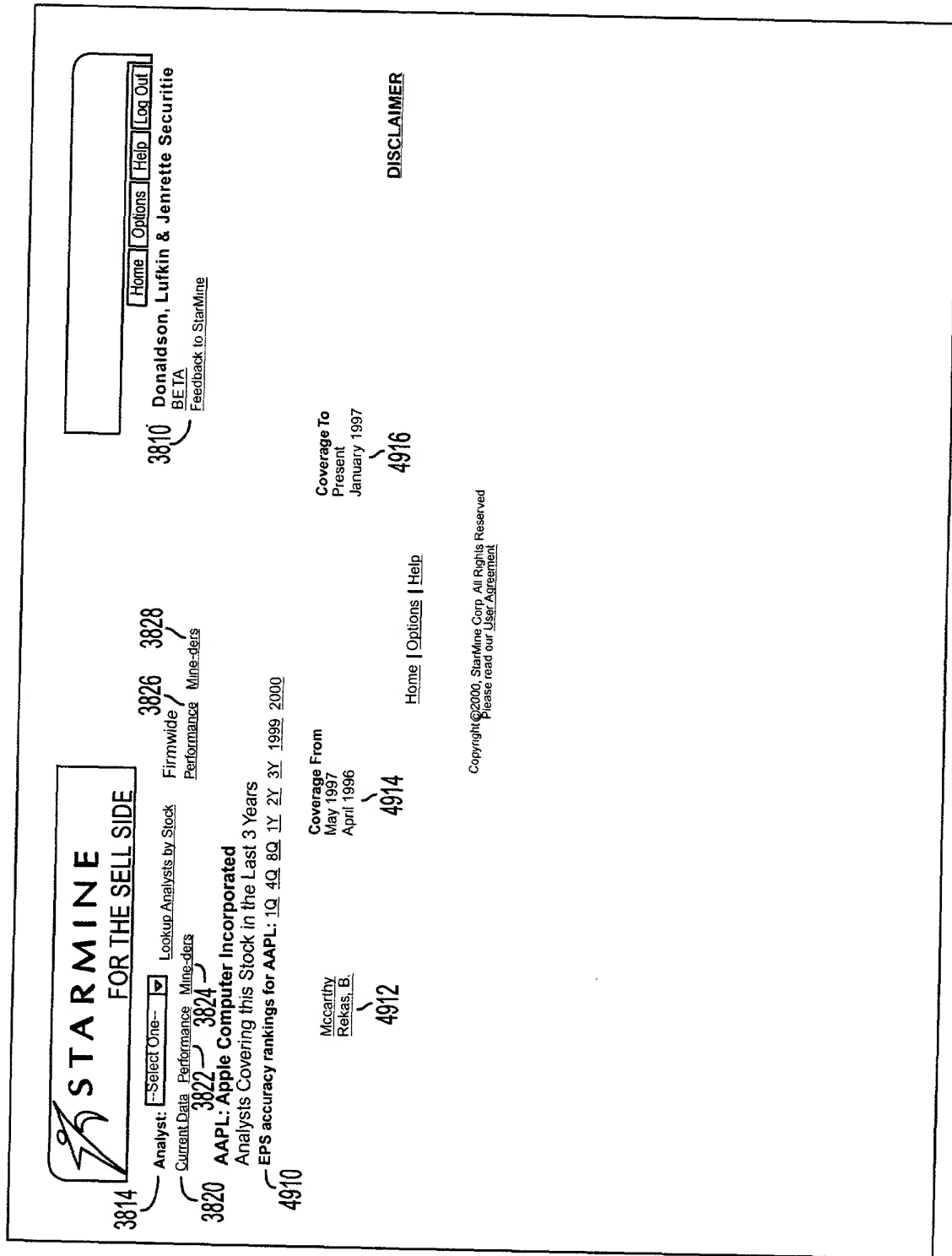


FIG. 47

FIG. 48

STARMINE  
FOR THE SELL SIDE

Options

5012

Default setup page:

☒ Firmwide Mine-ers

☐ Analyst Current View for

5014

Data View Options:

☐ Exclude Inactive Analysts

Submit

5016

Home | Options | Help | To StarMine.com | Log Out

5010

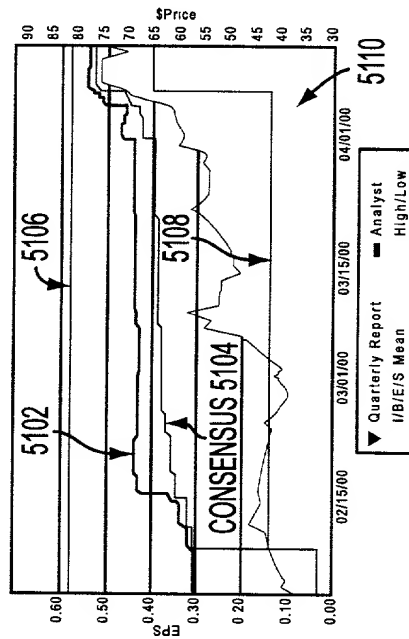
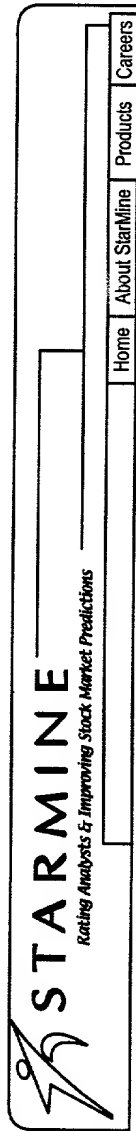
Version 1.00.022

DISCLAIMER

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Please read our [User Agreement](#)

FIG. 48



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FIG. 49

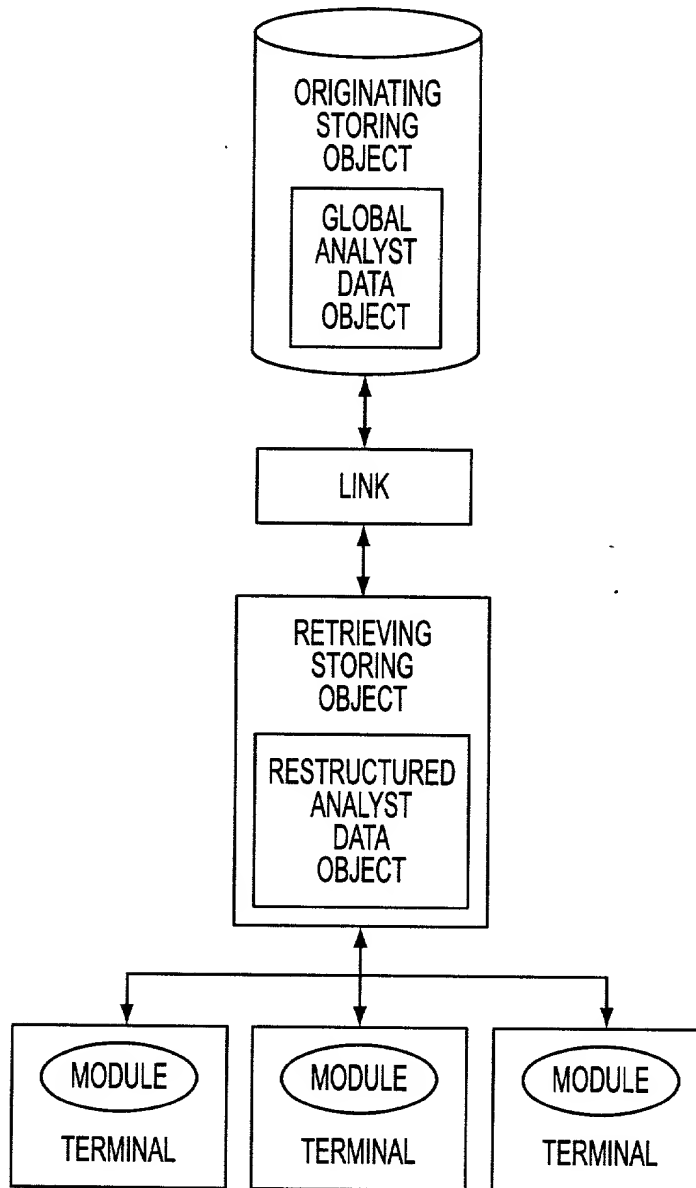


FIG. 50

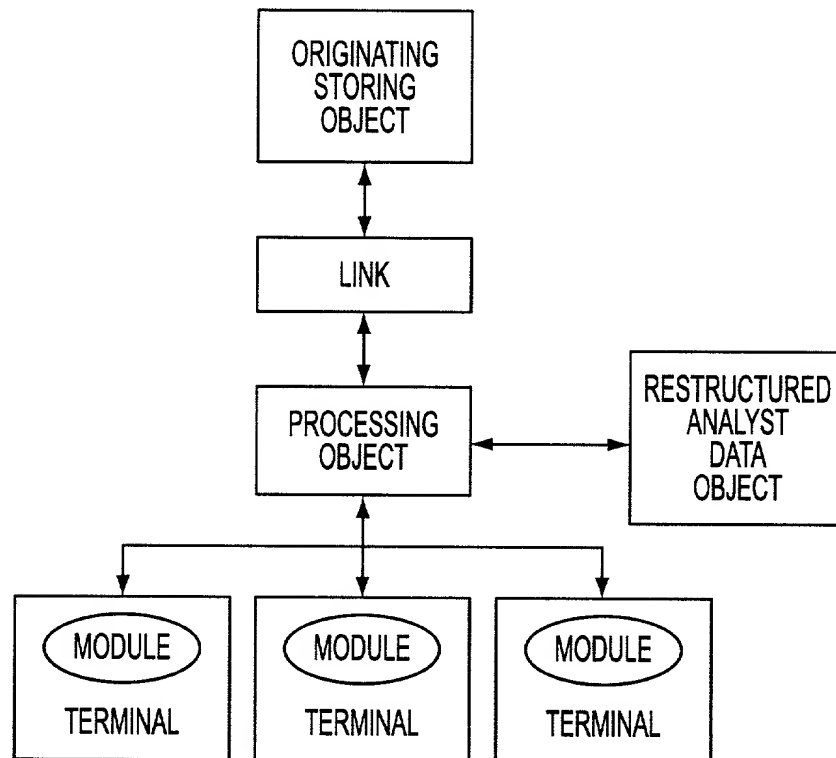


FIG. 51

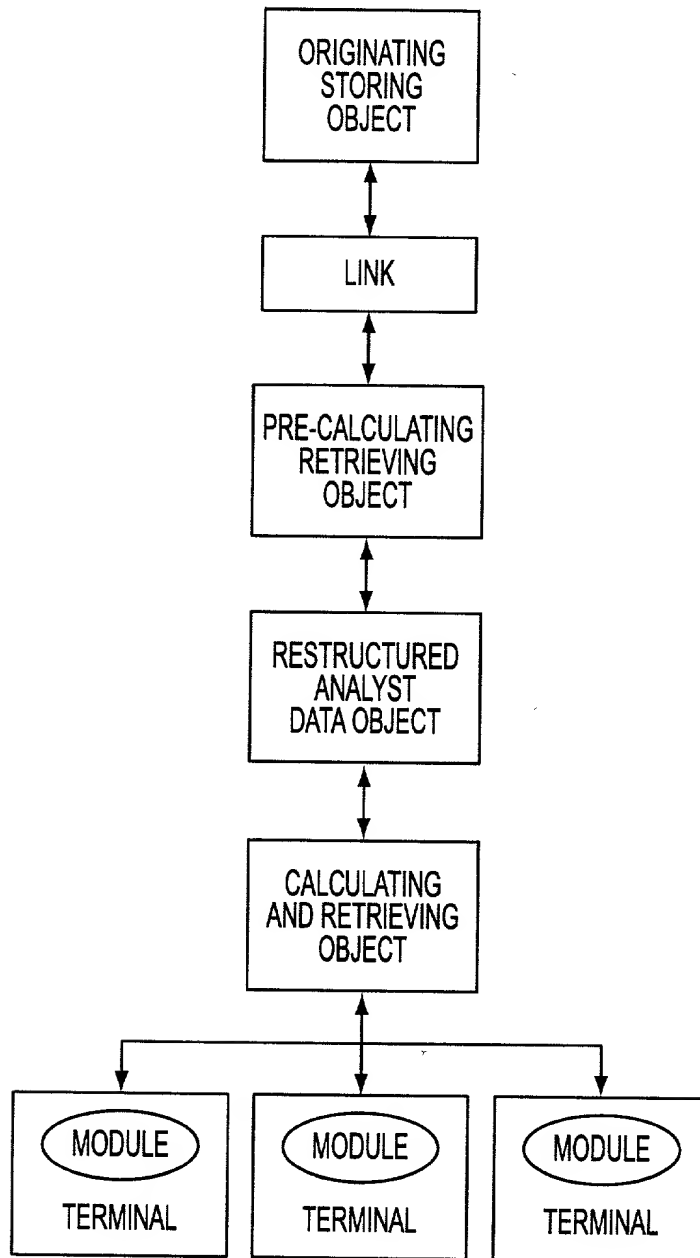


FIG. 52

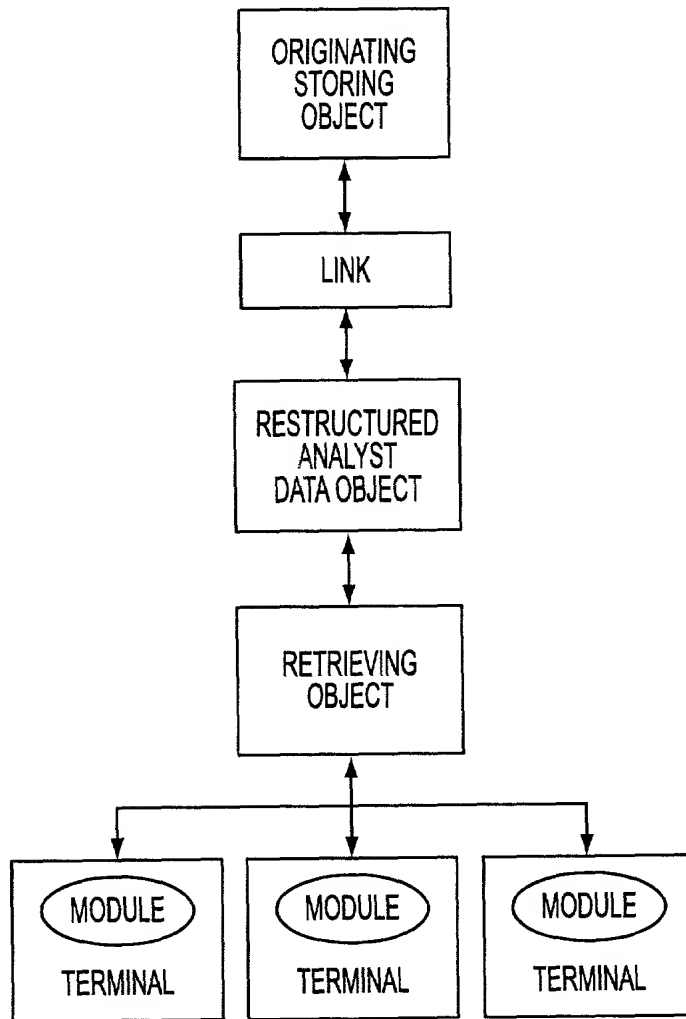


FIG. 53

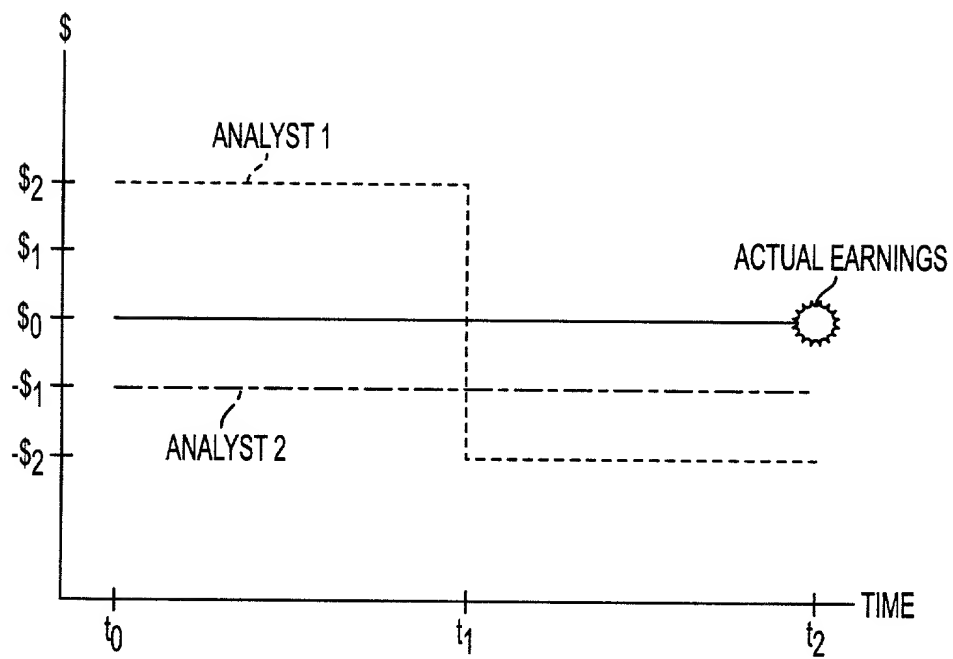


FIG. 54



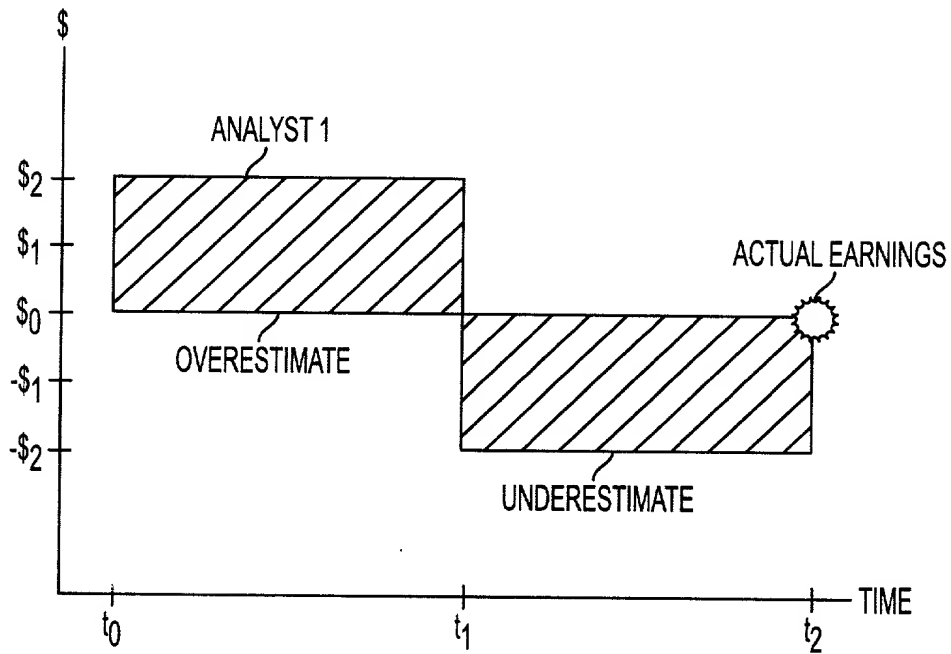


FIG. 55

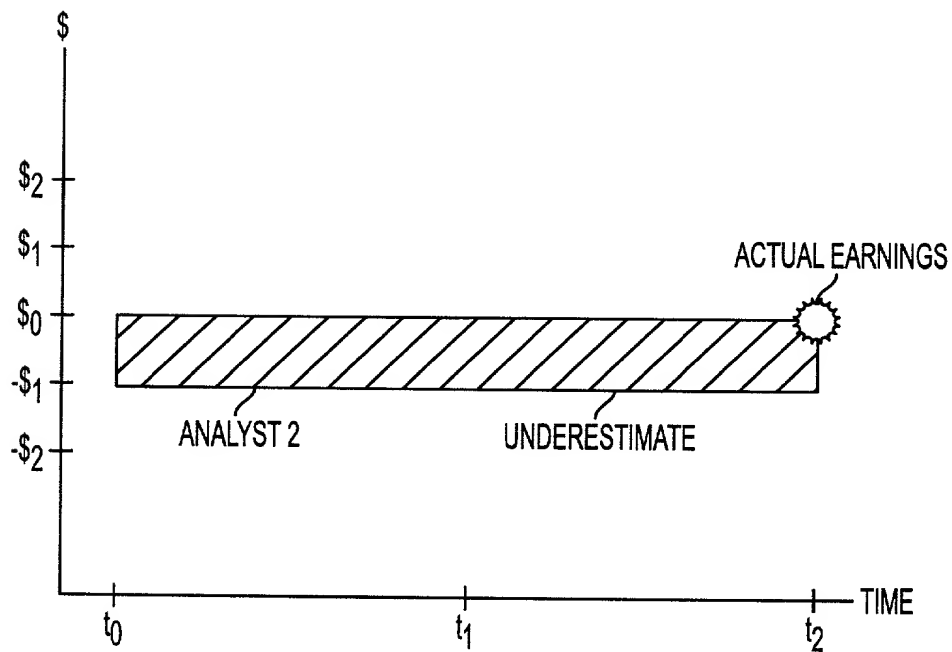


FIG. 56

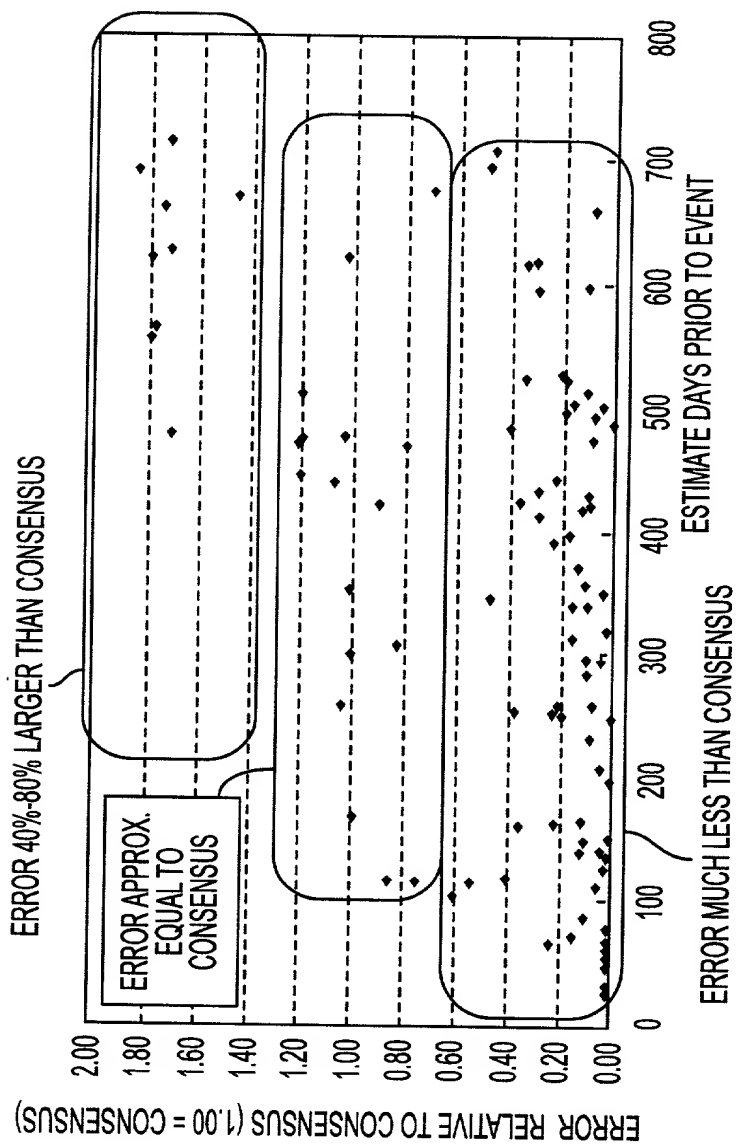


FIG. 57